



*April  
2012  
minutes*

797 BALD HILL ROAD  
WARWICK, RI 02886

401-821-1330  
FAX 401-823-0970  
E-MAIL: [jjm@petrarcamcgair.com](mailto:jjm@petrarcamcgair.com)  
[www.petrarcamcgair.com](http://www.petrarcamcgair.com)

June 25, 2012

Mr. Timothy J. Brown  
General Manager/Chief Engineer  
Kent County Water Authority  
P.O. Box 192  
West Warwick, RI 02893

Re: Board Meeting Minutes of April 19, 2012  
Board Meeting Minutes of May 17, 2012

Dear Mr. Brown:

Enclosed you will find the original Board meeting minutes of April 19, 2012 together with the Executive Session Minutes of April 19, 2012 and minutes of May 17, 2012. Please be advised that the Executive Session Minutes are for your eyes only and pursuant to statute at this time are not subject to records request to be kept in the vault with the other original minutes.

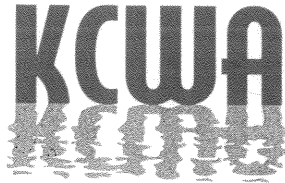
If you have any further questions, please feel free to contact me.

Very truly yours,

  
Joseph J. McGair

JJM:maf  
Enc.

# Agenda



Kent County Water Authority

# Agenda

BOARD MEETING AGENDA  
APRIL 19, 2012 – 3:30 P.M.  
OFFICES OF KENT COUNTY WATER AUTHORITY  
(Revised April 12, 2012)

Approval - Minutes of Meeting: Board Meeting – March 15, 2012  
Special Board Meeting – April 3, 2012

Guests: 3:30 p.m. High Service Requests  
▪ 795 Tillinghast Road, East Greenwich, Mr. Ingegneri  
4:00 p.m. Summit Financial, Annual Pension Review  
Approval: Plan Adoption Agreement

Legal Counsel: Legal Matters

Director of Finance Report: Cash Report March 2012  
February Closing

Point of Personal Privilege & Communication:

General Manager/Chief Engineer's Report:

Old Business: Bond Refinancing (Status)  
▪ Moody's Analyst Review  
▪ Schedule For Sale

New Business: Bid Awards:  
▪ General Construction (Purchase of Materials)  
▪ Mishnock Transmission Main Contract  
RFP Award:  
▪ Water Supply System Management Plan  
Capital Improvement Program Update (Approval)  
Fiscal Year 2012/2013 Legal Services  
Selection of Consultant, Management Qualification Statements

Capital Projects: CIP-1C Mishnock Well Treatment Plant (Construction Status)  
Easement Approval National Grid Mishnock Treatment Site  
CIP-1B Mishnock Transmission (Bid Award ¼ of main)

Infrastructure Projects: IFR 2009B (Start-up)  
IFR 2010A (Start-up)  
Quaker Lane P. S. Upgrade (Start-up, Task Order 5 Approval)  
IFR 2010B Design (Status, on hold)  
Tech Park Storage Tank Painting (Legal Action)  
Water Street Replacement (Town Re-Bid KCWA Share)

Executive Session            5:30 p.m.    In Accordance with 42-46-5(a)(1)  
Employees Performance Review, Employment Status

The offices of the Kent County Water Authority are handicapped accessible. Individuals requesting interpreter services for the hearing impaired must contact the offices of the Kent County Water Authority 72 hours before the meeting at 821-9300. (Telecommunications device for the hearing impaired available).

Revised Agenda Posted April 12, 2012.

1. KCWA Main Entry Office Public Bulletin Board
2. KCWA Side Entry Door Entrance
3. West Warwick Town Hall Public Bulletin Board

Revised Agenda Sent Via U. S. Post Office April 12, 2012 for Posting on Public Bulletin Board

1. City of Warwick
2. Town of Coventry
3. Town of East Greenwich
4. Town of West Warwick
5. Town of West Greenwich

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3. Town of East Greenwich
4. Town of West Warwick
5. Town of West Greenwich

**Petrarca and McGair, Inc.**

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**From:** Open Meetings Admin [openMeetings@sos.ri.gov]  
**Sent:** Thursday, April 12, 2012 1:57 PM  
**To:** jjm@petrarcamcgair.com; openMeetings@sos.ri.gov  
**Subject:** SOS Open Meetings : Meeting Notice

April 12, 2012

This is your electronic confirmation for the electronic filing of meeting notice for the Kent County Water Authority. The meeting notice filed is for the meeting on: April 19, 2012 3:30:00 pm.

This notice was electronically filed on the Secretary of State Open Meetings Website on: April 12, 2012 01:57:03 pm.

Please retain this message as your official proof of electronic filing.

Sincerely,

The Open Meetings Team at  
Office of Secretary of State A. Ralph Mollis State House Room 38 Providence, RI 02903  
(401) 222-2357  
(401) 222-1404  
TTY: 711  
[openmeetings@sos.ri.gov](mailto:openmeetings@sos.ri.gov)  
[sos.ri.gov](http://sos.ri.gov)

**From:** Open Meetings Admin [openMeetings@sos.ri.gov]  
**Sent:** Friday, April 13, 2012 10:35 AM  
**To:** jjm@petrarcamcgair.com; openMeetings@sos.ri.gov  
**Subject:** SOS Open Meetings : Meeting Notice

April 13, 2012

This is your electronic confirmation for the electronic filing of meeting notice for the Kent County Water Authority. The meeting notice filed is for the meeting on: April 19, 2012 3:30:00 pm.

This notice was electronically filed on the Secretary of State Open Meetings Website on: April 13, 2012 10:35:19 am.

Please retain this message as your official proof of electronic filing.

Sincerely,

The Open Meetings Team at  
Office of Secretary of State A. Ralph Mollis State House Room 38 Providence, RI 02903  
(401) 222-2357  
(401) 222-1404  
TTY: 711  
[openmeetings@sos.ri.gov](mailto:openmeetings@sos.ri.gov)  
[sos.ri.gov](http://sos.ri.gov)

**From:** Open Meetings Admin [openMeetings@sos.ri.gov]  
**Sent:** Monday, May 21, 2012 11:38 AM  
**To:** jjm@petrarcamcgair.com; openMeetings@sos.ri.gov  
**Subject:** SOS Open Meetings : Meeting Minutes

May 21, 2012

This is your electronic confirmation for the electronic filing of meeting minutes for the Kent County Water Authority. The meeting minutes filed are in for the meeting held on: April 19, 2012 15:30:00.

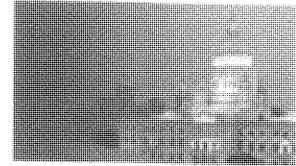
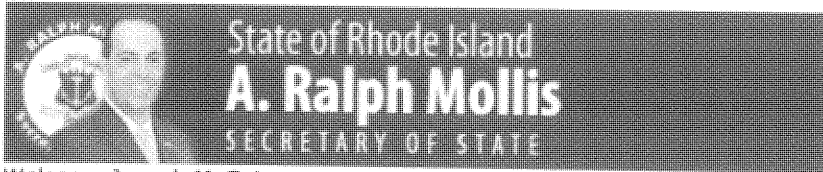
This notice was electronically filed on the Secretary of State Open Meetings Website on: May 21, 2012 11:37:41 am.

Please retain this message as your official proof of electronic filing.

Sincerely,

The Open Meetings Team at  
Office of Secretary of State A. Ralph Mollis State House Room 38 Providence, RI 02903  
(401) 222-2357  
(401) 222-1404  
TTY: 711  
[openmeetings@sos.ri.gov](mailto:openmeetings@sos.ri.gov)  
[sos.ri.gov](http://sos.ri.gov)

*Top Layer*



Welcome Joseph McGair

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The meeting minutes were successfully updated to the database. [Click to view the PDF.](#)

Public Information  
State House, Room 38  
Providence, RI 02903  
Open: Monday-Friday, 8:30 a.m. to 4:30 p.m.  
Phone: (401) 222-3983  
Fax: (401) 222-1404  
TTY: 711  
Email: [openmeetings@sos.ri.gov](mailto:openmeetings@sos.ri.gov)

**From:** Open Meetings Admin [openMeetings@sos.ri.gov]  
**Sent:** Monday, May 21, 2012 11:26 AM  
**To:** jjm@petrarcamcgair.com; openMeetings@sos.ri.gov  
**Subject:** SOS Open Meetings : Meeting Minutes

May 21, 2012

This is your electronic confirmation for the electronic filing of meeting minutes for the Kent County Water Authority. The meeting minutes filed are in for the meeting held on: April 19, 2012 15:30:00.

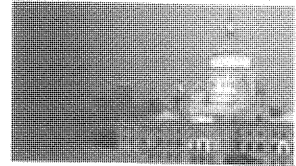
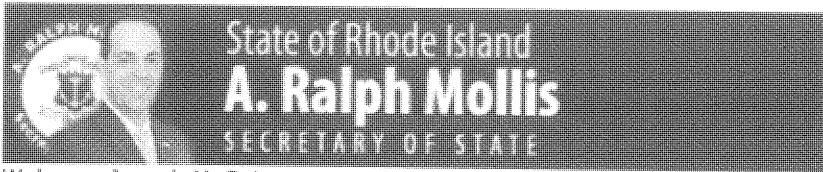
This notice was electronically filed on the Secretary of State Open Meetings Website on: May 21, 2012 11:25:53 am.

Please retain this message as your official proof of electronic filing.

Sincerely,

The Open Meetings Team at  
Office of Secretary of State A. Ralph Mollis State House Room 38 Providence, RI 02903  
(401) 222-2357  
(401) 222-1404  
TTY: 711  
[openmeetings@sos.ri.gov](mailto:openmeetings@sos.ri.gov)  
[sos.ri.gov](http://sos.ri.gov)

*Exhibit A Middle Layer*



Welcome Joseph McGair

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The meeting minutes were successfully updated to the database. [Click to view the PDF.](#)

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Fax: (401) 222-1404  
TTY: 711  
Email: [openmeetings@sos.ri.gov](mailto:openmeetings@sos.ri.gov)

**From:** Open Meetings Admin [openMeetings@sos.ri.gov]  
**Sent:** Monday, May 21, 2012 11:24 AM  
**To:** jjm@petrarcamcgair.com; openMeetings@sos.ri.gov  
**Subject:** SOS Open Meetings : Meeting Minutes

May 21, 2012

This is your electronic confirmation for the electronic filing of meeting minutes for the Kent County Water Authority. The meeting minutes filed are in for the meeting held on: April 19, 2012 15:30:00.

This notice was electronically filed on the Secretary of State Open Meetings Website on: May 21, 2012 11:24:02 am.

Please retain this message as your official proof of electronic filing.

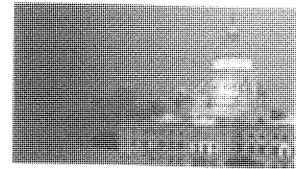
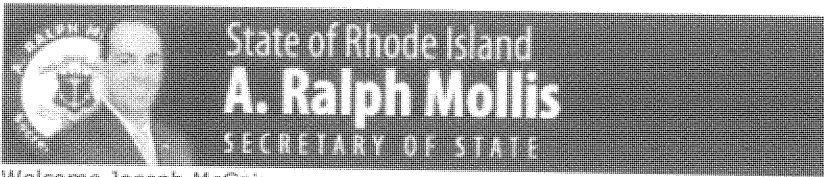
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Office of Secretary of State A. Ralph Mollis State House Room 38 Providence, RI 02903  
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Exhibits B-J

Bottom Layer



Welcome Joseph McGair

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The meeting minutes were successfully updated to the database. [Click to view the PDF.](#)

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# KENT COUNTY WATER AUTHORITY

## BOARD MEETING MINUTES

April 19, 2012

The Board of Directors of the Kent County Water Authority held its monthly meeting in the Joseph D. Richard Board Room at the office of the Authority on April 19, 2012.

Chairman, Robert B. Boyer opened the meeting at 3:30 p.m. Board Members, Mr. Gallucci, Mr. Giorgio and Mr. Masterson were present together with the General Manager, Timothy J. Brown, Director of Administration, Technical Service Director, John R. Duchesneau and Finance, Jo-Ann Gershkoff and Legal Counsel, Joseph J. McGair. The General Manager led the group in the pledge of allegiance. Board Member Inman was not in attendance.

The minutes of the Board meeting minutes of March 15, 2012 were moved for approval by Board Member Giorgio and seconded by Board Member Masterson and the Special Board Meeting Minutes of April 3, 2012 were moved for approval by Board Member Masterson and seconded by Board Member Giorgio and both minutes were unanimously approved.

### Guests:

3:30 p.m. High Service Request

795 Tillinghast Road, East Greenwich, Mr. Brad Ingegneri

Mr. Ingegneri stated that he would like to tie in to Kent County Water Authority water system and is purchasing property at 795 Tillinghast Road, East Greenwich. The General Manager stated that there was an application on file as of February 15, 2012. Mr. Robert Calvano, a Real Estate Broker, stated that there are 5 1/2 acres of wooded property with a single family home and it has been vacant since 2003 and that the well is deficient and there is a non-functioning cesspool. He stated that the Town is rigid in not allowing anything more than what exists at the site. He continued that the Buyer would knock house down and install a septic system for a four bedroom home and connect to Kent County Water Authority water. Board Member Masterson stated that he was familiar with the property and probably the soils are good. The General Manager stated there is a water main but no suspended service and that Mr. Ingegneri would have to connect and must stipulate that there would be no subdividing.

It was moved by Board Member Masterson and seconded by Board Member Giorgio to conditionally approve the request for water supply to service a single family home with the following conditions in lieu of a moratorium and that there may not be any subdivision of the said property:

1. The Kent County Water Authority (KCWA) is not a guarantor of water supply for this or any other approval and KCWA can only supply water reasonably available to it and therefore any applicant/customer of KCWA understands that any third party commitments made by a applicant/customer are subject to the reasonable availability of water supply and limits of the existing infrastructure to support service.

2. A deficient condition associated with accelerated commercial and residential development exists in the area serviced by the KCWA, the KCWA is in the process of planning for additional water supply and therefore delays or diminution in service may occur if the water supply is unavailable or unable to produce water sufficient to service the customers of KCWA.

3. Ventures, commitments or agreements are at the applicant's sole risk if supply or existing infrastructure is found to be insufficient to support service. The applicant may afford the Authority with system improvements to facilitate adequate service.

4. The applicant shall file a formal single family home application. The applicant/customer understands that any undetected error in the application or an increase or change in demand as proposed, which materially affects the ability to supply water to the site, will be the responsibility of the applicant/customer and not the KCWA.

5. Only conservation-wise plumbing fixtures are to be installed including but not limited to low flow shower heads, low flow toilets and low flow aerators on faucets.

6. If irrigation systems are installed, they must be supplied by a private well. Xeriscape landscaping technique and/or proper planting bed (high water holding capacity) soil preparation shall be employed throughout the project.

And it was unanimously,

VOTED: To conditionally approve the request for water supply to service a single family home with the following conditions in lieu of a moratorium and that there may not be any subdivision of the said property:

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4:00 p.m. Summit Financial Annual Pension Review

Approval: Plan Adoption Agreement

Kent County Water Authority Financial/Pension Advisor, Joseph Bonasera, and Doug Joshua from Summit Financial Corp. appeared before the Board and gave the annual presentation of the state of the Kent County Water Authority employee pension. Mr. Bonasera stated that the Authority pension is one of the stars of public pensions as it is in very good shape and demonstrated that fact with a presentation and dialogue with the Board. (Power point presentation as evidenced and attached as "A").

Mr. Bonasera explained the new Federal Fiduciary rules and that the FINRA places the burden upon the advisor for no additional cost and to that end, Kent County

Water Authority must adopt the resolution subjecting the current pension plan to Erisa which was not previously mandated. Mr. Bonasera continued with an explanation of moving the assets from Government Bonds to corporate Triple AAA rated bonds from asset protection and growth in this long bear market. He stated that the economy is slowly showing signs of getting better but the political environment is creating grid lock.

He advocated the sixty percent (60%) equities and forty percent (40%) corporate bonds which were highlighted in the unsigned draft of an actuarial report. He reported in great detail the results of the actuarial report and highlighted the summary of key results. He explained the benefits of the plan and that all funds have met the due diligence test and it was thoroughly discussed by the Board and Mr. Bonasera.

It was moved by Board Member Gallucci and seconded by Board Member Giorgio to adopt the changes to the pension plan allocation as specified by Summit Financial and it was unanimously,

VOTED: To adopt the changes to the pension plan allocation as specified by Summit Financial.

Mr. Bonasera stated that the Board must execute a Certificate of Adopting Resolution which affirms that the Board is not subject to ERISA but that tax ramifications need to be addressed. It was moved by Board Member Gallucci and seconded by Board Member Giorgio to approve the Certificate of Adopting Resolution to utilize the Volume Submitter Defined Benefit document to comply with various tax ramifications and to authorize the Chairman to execute said document as evidenced and attached as "B" and it was unanimously,

VOTED: To approve the Certificate of Adopting Resolution to utilize the Volume Submitter Defined Benefit document to comply with various tax ramifications and to authorize the Chairman to execute said document as evidenced and attached as "B".

## **LEGAL MATTERS**

### **Harris Mills**

The company has gone into receivership. Kent County Water Authority is owed \$3,676.58. Legal Counsel will monitor for proof of claim filing. A permanent receiver was appointed. A proof of claim prepared and forwarded to the General Manager for signature on September 17, 2008 and will be filed in the Kent County Superior Court and sent to the receiver. Proof of Claim was filed and sent to Received on September 19, 2008. The proof of claim deadline was December 1, 2008. Legal counsel will continue to monitor for payment on claim. As of May 12, 2009, there has been no change in status. Petition to sell was filed by Receiver in Kent County Superior Court on June 5, 2009. Offer to property made which will allow for partial payment of claims.

Legal Counsel will monitor progress of sale.

There has been no further progress regarding the sale of the Harris Mill complex in the receivership matter. Legal Counsel to contact the Receiver for a status report. New offers to purchase have come in which could allow Kent County Water Authority claim in this matter to be paid out of the receivership proceeds. As of September 14, 2009 the previous offer did not materialize. A new offer is being pursued. Legal Counsel will continue to monitor the progress of the sale. The receivership case is in the Supreme Court. On October 1, 2010 the Court approved the sale of the property and the allowed disbursements including payment of Kent County Water Authority bill. This office will continue to monitor payment. On May 13, 2011 Legal Counsel sent a letter to Counsel for potential buyer inquiring as to the status of the sale. Legal Counsel followed up with counsel for Buyer on June 14, 2011 regarding response to May 13, 2011 correspondence. On July 18, 2011 Legal Counsel was informed by Buyer's Counsel that the sale is on hold pending resolution of Supreme Court Appeals in receivership case. There has been no further word as of April 18, 2012. Legal Counsel forwarded correspondence to Attorney for Buyer on April 17, 2012 for status report.

#### Hope Mill Village Associates

The company is in receivership. Kent County Water Authority is owed \$1,632.44. Legal Counsel to prepare and file Proof of Claim. Proof of Claim was prepared and was forwarded to the General Manager for signatures. Proof of Claim was filed in Kent County Superior Court and was sent to the receiver on August 28, 2008 and as of this date this case is still pending. Hope Mill filed Chapter 11 Bankruptcy on August 20, 2008. Kent County Water Authority was not listed as a creditor. The proof of claim was prepared and signed by the General Manager on November 14, 2008 and was filed with the Bankruptcy Court on November 18, 2008, The proof of claim filing deadline was the end of November, 2008. Pursuant to the plan of reorganization filed by Debtor on November 22, 2008, Kent County Water Authority will be paid in full upon confirmation of the plan by the Bankruptcy Court and Legal Counsel will continue to monitor. As of February 17, 2009 the Court has not scheduled a hearing for confirmation of plan. Debtor will be filing an Amended Plan in March 2009. Legal Counsel will continue to monitor. As of July 16, 2009 the Debtor has not filed an Amended Plan.

The Bankruptcy Court hearing was to be held on August 19, 2009 regarding a motion filed by Hope Mill to convert Chapter 11 to Chapter 7. Legal counsel will monitor the hearing and how the disposition of the hearing will affect the claim of Kent County Water Authority. The hearing was held on December 17, 2009. Assets purchased pursuant to Asset Purchase Agreement. Kent County Water Authority charges to be paid pursuant to Asset Purchase Agreement. Legal Counsel will follow up regarding timetable of payment to Kent County Water Authority. Legal Counsel spoke with Attorney DeAngelis on February 17, 2010 for status on payment to Kent County Water Authority.

Legal Counsel spoke with Attorney DeAngelis on May 13, 2010 and Mr. DeAngelis stated that a final closing has yet to be scheduled, but should be scheduled in the near future. There has been no progress on scheduling a closing as of April 18, 2012. Legal Counsel forwarded correspondence to Attorney DeAngelis on April 17, 2012 requesting status.

#### West Greenwich Technology Tank/Rockwood

This matter may be in litigation in that Rockwood Corporation had failed to take any steps and continually denied Kent County Water Authority efforts to take any steps in the painting issues inside of the tank and on February 16, 2009 their surety, Lincoln General Insurance Company, denied the claim as well. The matter was reviewed between the General Manager and Legal Counsel. Rockwood sent a proposal to Legal Counsel on March 31, 2009 and the General Manager weighed the same and a response was sent to Rockwood on April 24, 2009. On May 2, 2009 Rockwood sent another proposal and the General Manager responded to the same on May 8, 2009 requesting a written remedial plan proposal within ten days. On May 8, 2009 Rockwood responded by asking the General Manager to reconsider his position. On May 12, 2009 the General Manager sent correspondence to Rockwood stating the Authority will await Rockwood comments to KCWA letter of May 8, 2009. On May 13, 2009 Rockwood provided an additional response to the KCWA letter of May 8, 2009 with questions. On May 13, 2009 the General Manager sent correspondence agreeing to provide Rockwood with more time to complete a plan of remediation for an additional 10 days. On May 14, 2009, Rockwood sent a response and the General Manager, Merithew and Rockwood to have an informal meeting to work out details. The meeting took place and the Authority is monitoring the efforts of Rockwood to remedy the situation. The tank was recently dry inspected and the vendor remediated the same. Kent County Water Authority is awaiting final inspection of the tank with respect to the remediation. Rockwood has performed work at the site and it is necessary to have a final inspection after the tank has been filled. The tank has been filled and inspection is moving forward. This has been concluded. However, inspection followed which disclosed that there were more paint issues. On July 22, 2010, Legal Counsel notified the Bonding Company regarding action to correct. This will be further discussed by the General Manager in IFR projects. This matter is being discussed which may include litigation and KCWA is awaiting final restoration plans from the vendor. On March 16, 2011 and March 17, 2011, the General Manager received email communications from Rockwood requesting KCWA response to Rockwood performing its February 18th proposal on March 21, 2011. Further, the email stated that Mr. Northrop is no longer with Lincoln and provided an alternate contact for forwarding of the claim of KCWA.

On March 29, 2011 Legal Counsel sent correspondence to Mr. Northrop's successor, Paul Poppish pursuant to Mr. Law of Rockwood. After receiving no reply, Legal Counsel sent a follow up letter to Mr. Poppish on April 13, 2011. On May 16, 2011, Legal Counsel called Lincoln General and Mr. Poppish is no longer with the company and was directed to Mr. Bob Griffith and Legal Counsel spoke with him and was asked to send the correspondence to him which was accomplished on even date.

No response was received from Mr. Griffith and Legal Counsel sent a follow up letter on June 9, 2011.

On July 14, 2011 Legal Counsel had a telephone conference with Bob Griffith from Lincoln General who stated that he would get something out to Legal Counsel the beginning of the week of July 18, 2011 and a letter was received on July 17, 2011 stating that he would discuss it with his insured and would respond thereafter. On August 5, 2011, Legal Counsel sent a follow up letter to Mr. Griffith since no response was received. A second follow up letter was sent to Mr. Griffith on November 16, 2011 since there has been no response.

A complaint was filed in Kent County Superior Court and served on Defendants Rockwood Corporation and Lincoln General Insurance Company on February 23, 2012. Defendants requested an extension to answer per Stipulation and their answer was due April 2, 2012 and was received by Legal Counsel on April 2, 2012. The parties are discussing the possibility of mediation.

#### Spectrum Properties, The Oaks, Coventry, Rhode Island

Legal Counsel for the developer forwarded on July 13, 2009 to Kent County Water Authority Legal Counsel for comment on the proposed form of easement deeds with respect to the residential subdivision. On July 29, 2009, Legal Counsel for Kent County Water Authority sent a response to Attorney William Landry setting forth comments to the proposed form of deeds. Legal Counsel received revised deeds from Attorney Landry on September 10, 2009 and they have been forwarded to the General Manager for review and have been approved by the General Manager. On September 24, 2009, Legal Counsel forwarded to Attorney Landry correspondence stating that the form of easement deed has been approved by Kent County Water Authority and for Attorney Landry to forward the original executed deeds to Kent County Water Authority for execution of acceptance. Legal Counsel has not received the deeds to date therefore Legal Counsel forwarded status inquiry correspondence to Attorney Landry on November 18, 2009. Attorney Landry replied to Legal Counsel on November 23, 2009 stating that the developer is in the midst of scheduling a final approval hearing with the Town and Attorney Landry will provide Legal Counsel for KCWA with the anticipated timetable for final approval and recording of the deeds upon Mr. Landry's receipt of this information.

Legal Counsel was pursuing Attorney Landry for status of his receipt of timetable for municipal approvals. Legal Counsel telephoned Attorney Landry and left a voicemail message as to status and subsequently forwarded correspondence to Attorney Landry on March 11, 2010. On May 11, 2010, Legal Counsel forwarded subsequent correspondence to Attorney Landry inquiring as to the status of the matter. The Developer contacted Legal Counsel directly and informed her that final approvals have not been received. Sanford J. Resnick, Esq. forwarded correspondence on September 17, 2010 to the Chairman informing of his representation of the developer and a request



to appear before the Board to discuss inspection fees.

Mr. Resnick appeared at the May 19, 2011 Board Meeting and the staffs are working together with the Developer and Legal Counsel. Mr. Resnick will draft agreements with respect to flushing and constructing the water line. On August 15, 2011 Legal Counsel left a message with Mr. Resnick for status update and as of April 18, 2012 Legal Counsel has not received a response.

DPUC – Gregory Decubellis

Legal Counsel received from the DPUC on March 12, 2012 an entry of appearance for John A. Pagliarini.

There is a meeting scheduled between the parties for April 30, 2012 at 10:00 a.m. at the offices of Kent County Water Authority which said meeting was recommended by the hearing office prior to commencing discovery.

**Director of Finance Report:**

Jo-Ann Gershkoff, Finance Director, explained and submitted the financial report. Statement of Revenues, Expenditures and Change in Fund Balance as of March, 2012, Cash Location FY 2011-2012 and Cash Receipts and Disbursements FY 2011-2012 attached as "C", and after thorough discussion with regard to the sales and revenue. The restricted accounts were all funded for the period and collections have exceeded forecasts.

Board Member Gallucci moved and seconded by Board Member Giorgio to accept the reports and attach the same as an exhibit and that the same be incorporated by reference and be made a part of these minutes and it was unanimously,

VOTED: That the financial report and Statement of Revenues, Expenditure and Change in Fund Balance as of March, 2012, Cash Location FY 2011-2012 and Cash receipts and Disbursements FY 2011-2012 attached as "C" be approved as presented and be incorporated herein and are made a part hereof.

**Point of Personal Privilege and Communications:**

None.

**GENERAL MANAGER/CHIEF ENGINEER'S REPORT**

**Old Business:**

Bond Refinancing (Status)

Moody's Analyst Review

This should occur May 1, 2012 and will be reviewed

Schedule for Sale

This matter will be reviewed by the analyst.

**New Business:**

Bid Awards:

General Construction (Purchase of Materials)

The General Manager stated there were two (2) bids for purchase of materials and that it was the recommendation of the General Manager that E.J. Prescott had met the requirements of the contract documents and it was necessary, fair and reasonable as evidenced together with the report of the General Manager and attached as "D" and is in the best interests of Kent County Water Authority.

It was moved by Board Member Masterson and seconded by Board Member Giorgio to award the bid for purchase of materials to E.J. Prescott in the amount of \$248,038.49 together with the report of the General Manager as evidenced and attached as "D" and it was unanimously,

VOTED: To award the bid for purchase of materials to E.J. Prescott in the amount of \$248,038.49 together with the report of the General Manager as evidenced and attached as "D".

Mishnock Transmission Main Contract

The General Manager stated there were three bids for the Mishnock Transmission Main Contract and that it was the recommendation of the General Manager that Parkside Utility Construction Corp. had met the requirements of the contract documents and it was necessary, fair and reasonable as evidenced together with the report of the General Manager and attached as "E" and is in the best interests of Kent County Water Authority.

It was moved by Board Member Masterson and seconded by Board Member Giorgio to award the bid for the Mishnock Transmission Main Contract to Parkside Utility

Construction Corp. in the amount of \$1,825,000.00 together with the report of the General Manager as evidenced and attached as “E” and it was unanimously,

VOTED: To award the bid the Mishnock Transmission Main Contract to Parkside Utility Construction Corp. in the amount of \$1,825,000.00 together with the report of the General Manager as evidenced and attached as “E”.

## **RFP Award**

### **Water Supply System Management Plan**

The General Manager stated there were three (3) bids for the RFP award for Water Supply System Management Plan and that it was the recommendation of the General Manager that James J. Geremia & Associates, Inc. had met the requirements of the contract documents and it was necessary, fair and reasonable as evidenced together with the report of the General Manager and attached as “F” and is in the best interests of Kent County Water Authority.

It was moved by Board Member Masterson and seconded by Board Member Giorgio to award the RFP for Water Supply System Management Plan to James J. Geremia & Associates, Inc. in the amount of \$17,600.00 together with the report of the General Manager as evidenced and attached as “F” and it was unanimously,

VOTED: To award the RFP Award for Water Supply System Management Plan to James J. Geremia & Associates, Inc. in the amount of \$17,600.00 together with the report of the General Manager as evidenced and attached as “F”.

### **Capital Improvement Program Update (Approval)**

The General Manager provided a map of the IFR/CIP which demonstrates the state of the Capital Improvement Program. This followed the Kent County Water Authority Water Supply System Five Year Capital Improvement Program update 2012-2017 by C & E Engineering, January, 2012 which is mandated by state statute and is incorporated by reference only because of its size and is available for viewing at the Kent County Water Authority office, 1072 Main Street, West Warwick, Rhode Island and has a \$34,500,000 associated cost for the said major projects and was previously recommended for approval.

It was moved by Board Member Gallucci and seconded by Board Member Giorgio to approve the Water Supply System Five Year Capital Improvement Program Update for 2012-2017 in the amount of \$34,500,000 and it was unanimously,

VOTED: To approve the Water Supply System Five Year Capital Improvement Program Update for 2012-2017 in the amount of

\$34,500,000.

### **Fiscal Year 2012/2013 Legal Services**

Board Member Masterson stated he received the notice from Petrarca and McGair, Inc. that it would not seek to renew its legal services engagement with Kent County Water Authority when it is concluded on June 30, 2012. He wanted to thank Joseph J. McGair, Esq. and the office for the 30 years with Kent County Water Authority and all the great things that they have done for Kent County Water Authority, especially easy access and for sharp and very professional representation. He recalled PUC rate hearings and putting out a fire and Mr. McGair has demonstrated his deep knowledge of Kent County Water Authority legal affairs. He knew that Mr. McGair has protected the entity and possesses a wealth of knowledge and always watched out for the Authority. He stated that he was hoping there could be a meeting set up between the Chairman and Mr. McGair to discuss continued representation for the Authority.

The Chairman stated that he received the certified letter and accepts the tenor of the letter.

A discussion ensued regarding the issue and that the Board can engage legal services via engagement letter. The General Manager stated that the Authority has needs for a litigation firm which has the following specialties, bankruptcy, receiverships, real estate, PUC rate work, DPUC responsive work, Governmental, General Counsel, contract law, construction law and labor to name a few. Board Member Gallucci echoed Board Member Masterson's comments about Legal Counsel.

### **Selection of Consultant , Management Qualification Statements**

The Chairman spoke to PUC about payment and the General Manager stated that he was leery of the consultant in that the study has not been funded by the PUC. The Chairman said he spoke to Charles Brown at DPUC who referred him to Steve Scialabba, Chief Accountant for DPUC who said that it was proper.

The General Manager repeated his issue with the lack of PUC funding and the Chairman repeated that the DPUC represented to him that it was allowable. The General Manager stated that Mr. Scialabba works for the DPUC which is different from the PUC.

The General Manager stated he did not know what was being studied or the cost of it and Board Member Masterson suggested that there should be a proposal for those issues for the Board to review. The General Manager reiterated that he was against this and the Chairman stated that the Board should vote on it and he will discuss it with Steve Scialabba. The Chairman stated that this will save a month.

Board Member Masterson stated that the Board needs an exact price with specific duties for the study and waiting one month does not matter. He stated he was

not satisfied with this approach. The General Manager stated that Kent County Water Authority did a management study and this is not a management study and that an RFP is the best way to proceed. Legal Counsel was not asked to provide an opinion by the Board.

It was moved by Board Member Gallucci and seconded by Board Member Giorgio to engage Kahn Litwin to do a Management Study for Kent County Water Authority for a negotiated price.

Board Member Giorgio moved that it be amended to state that if the motion is declared to be illegal, that the Board would move to negate this action.

The amendment was voted on and the Board voted as follows: the Chairman, Board Member Gallucci and Board Member Giorgio voted in the affirmative and Board Member Masterson voted in the negative and it was:

VOTED: That the motion is amended to state that if the motion is declared to be illegal, that the Board will move to negate this action.

It was moved by Board Member Gallucci and seconded by Board Member Giorgio to engage Kahn Litwin to do a Management Study for Kent County Water Authority for a negotiated price and if this motion is declared to be illegal, the Board will move to negate this action and the Board voted as follows: the Chairman, Board Member Gallucci and Board Member Giorgio voted in the affirmative and Board Member Masterson voted in the negative and it was:

VOTED: To engage Kahn Litwin to do a Management Study for Kent County Water Authority for a negotiated price and if this motion is declared to be illegal, the Board will move to negate this action

The Chairman left the meeting at 5:45 p.m.

### **CAPITAL PROJECTS:**

### **INFRASTRUCTURE PROJECTS**

#### **IFR2009 B (Start up)**

#### **IFR 2010 A (Start up)**

The General Manager gave an updated written report on the IFR 2009 B and IFR 2010A as evidenced and attached on the IFR Budget graph as "G".

#### **Quaker Lane P. S. Upgrade (Start-up, Task Order 5 Approval)**

The General Manager recommended the approval of Task Order No. 5 by C E Engineering Partners, Inc. to for the design of the Rehabilitation of the Quaker Lane Pump Station and it was recommended by the General Manager as necessary, fair and reasonable as evidenced together with the report of the General Manager and attached as "H".

It was moved by Board Member Masterson and seconded by Board Member Giorgio to approve Task Order No. 5 and to have the Chairman execute Task Order No. 5 on behalf of Kent County Water Authority together with the report of the General Manager as evidenced and attached as "H" and it was unanimously voted among the remaining Board Members,

VOTED: To approve Task Order No. 5 and to have the Chairman execute Task Order No. 5 on behalf of Kent County Water Authority together with the report of the General Manager as evidenced and attached as "H".

#### Water Street Replacement (Town re-bid KCWA Share)

The General Manager stated there were bids (5) bids for Water Street water improvements for Kent County Water Authority and that it was the recommendation of the General Manager and James J. Geremia & Associates, Inc. that William Anthony Excavating, Inc. had met the requirements of the bid documents and it was necessary, fair and reasonable in the amount of \$172,490.00 for water improvement as evidenced together with the report of the General Manager and attached as "I" and is in the best interests of Kent County Water Authority.

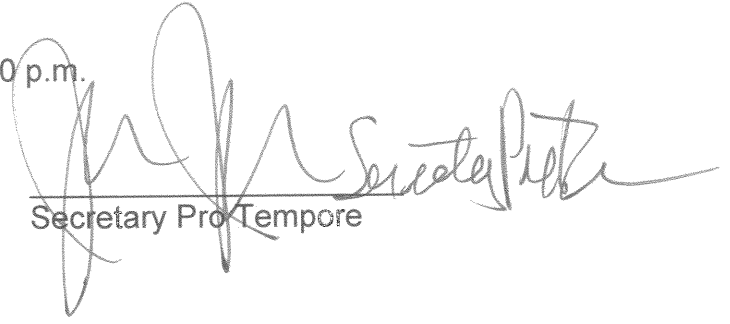
It was moved by Board Member Masterson and seconded by Board Member Giorgio that the Town of East Greenwich award the bid to William Anthony Excavating, Inc. in the amount of \$172,490.00 for water improvement for Kent County Water Authority share together with the report of the General Manager as evidenced and attached as "I" and it was unanimously,

VOTED: That the Town of East Greenwich award the bid to William Anthony Excavating, Inc. in the amount of \$172,490.00 for water improvement for Kent County Water Authority share together with the report of the General Manager as evidenced and attached as "I".

All other Capital and Infrastructure Projects were addressed by the General Manager and described to the Board by the General Manager with general discussion following and are evidenced and attached as "J".

Board Member Giorgio made a Motion to adjourn, seconded by Board Member Gallucci and it was unanimously voted by the Board Members present,

VOTED: To adjourn the meeting at 6:30 p.m.

A handwritten signature in black ink, appearing to read "Secretary Pro Tempore". The signature is written in a cursive style with a horizontal line drawn through the middle of the text.

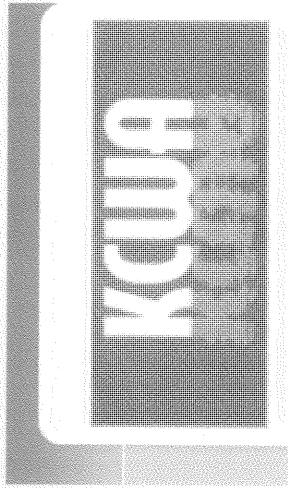
Secretary Pro Tempore

# **EXHIBIT A**

Kent County Water Board Meeting

April 19, 2012

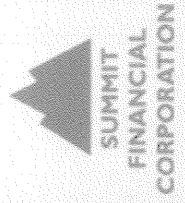




# Kent County Water Authority

Summit Financial Corp.

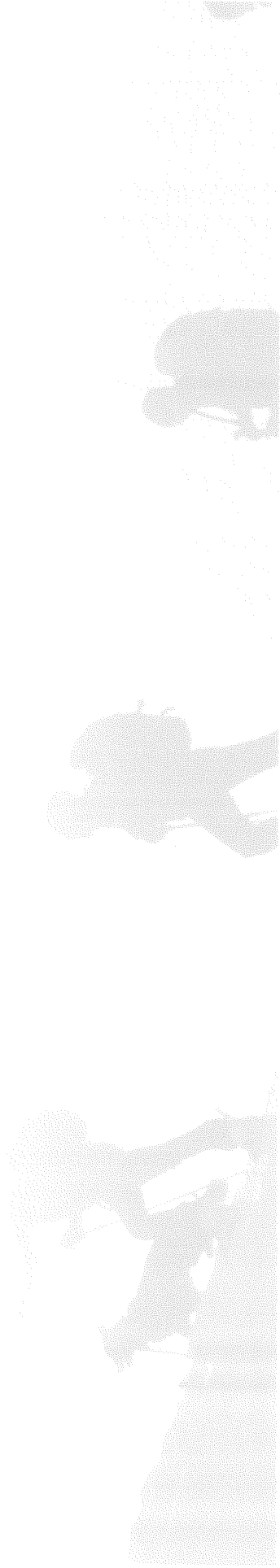
April 2012

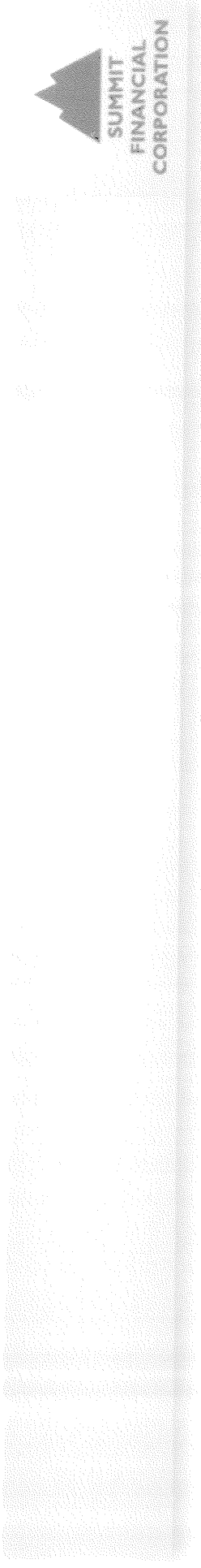


# Agenda

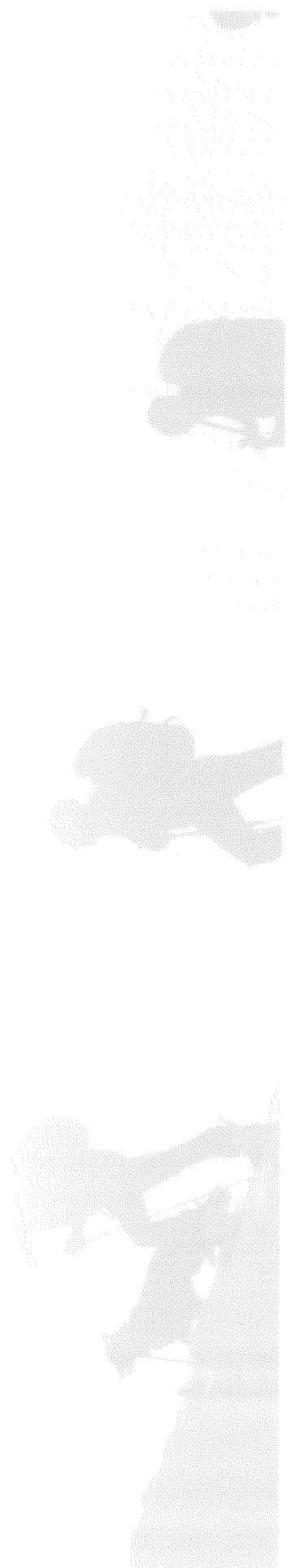


- Fiduciary Role
  - Duties
- Legislative Update
  - 25 year average interest rate
- Market Update
- Investments

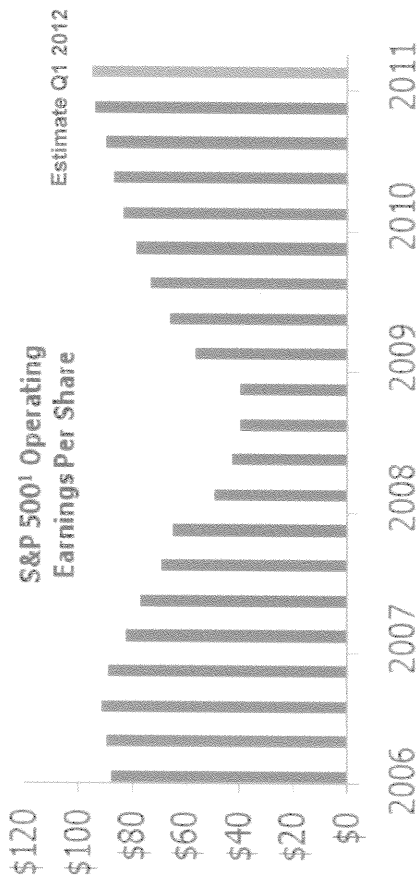




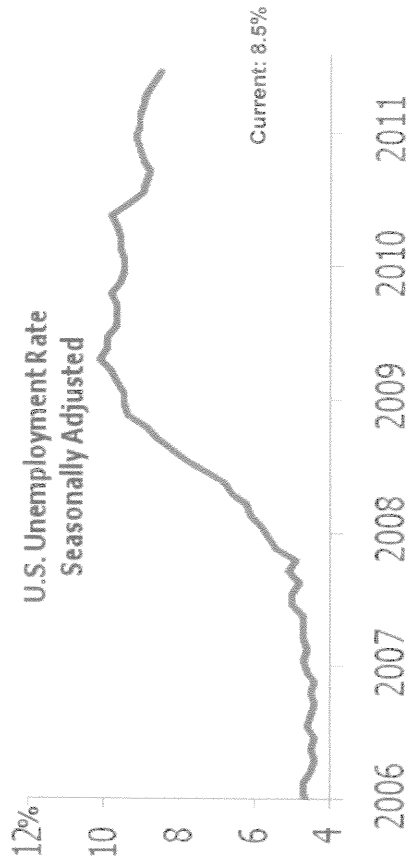
# Capital Market Update



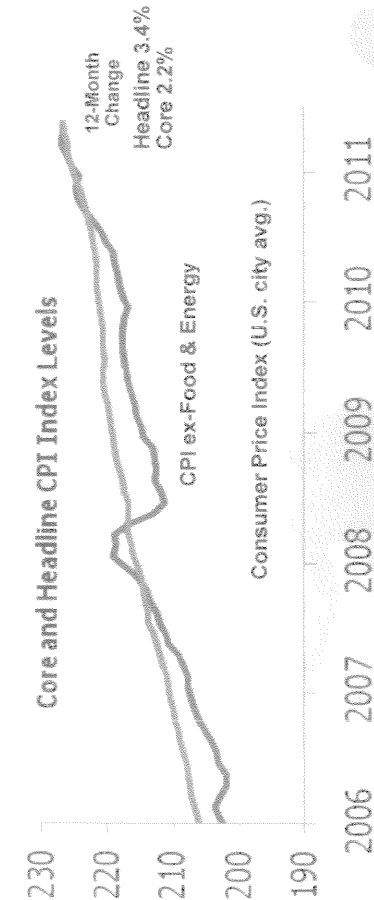
# U.S. Economy Experiences "Strong Patch" in Q4 2011



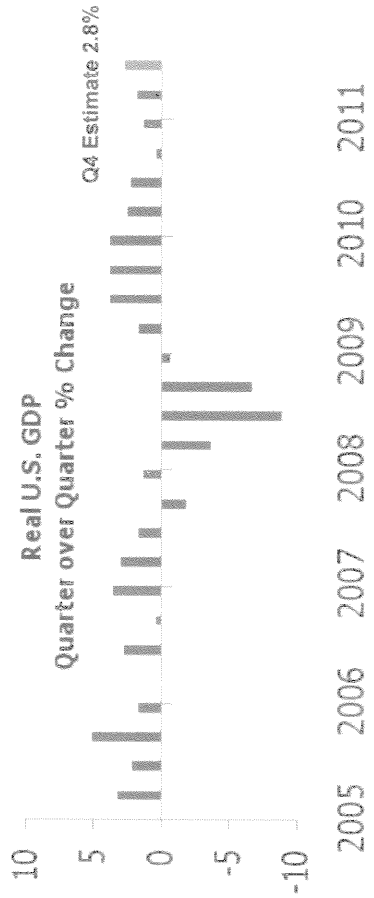
Source: Ned Davis Research 12/31/11



Source: Bureau of Labor Statistics and Bloomberg 12/31/11



Source: Bureau of Labor Statistics and Bloomberg 11/30/11



Source: Bureau of Economic Analysis and Bloomberg 12/31/11

Source: Bloomberg, Ned Davis Research, Inc. Further distribution prohibited without prior permission. Copyright 2012 © Ned Davis Research, Inc. All rights reserved. 12/31/11, Bureau of Labor Statistics, all data accessed as of 12/31/11.

1) The S&P 500 Index is a broad-based measure of domestic stock market performance. The index is unmanaged, includes the reinvestment of dividends, and cannot be purchased directly by investors. Index performance is shown for illustrative purposes only and does not predict or depict the performance of any Oppenheimer fund. **Past performance does not guarantee future results.**

# Uncertainties Drive Market Volatility



## United States

- Future tax policy
- Deficit reduction
- Health care

## China

- Growth
- Housing
- Credit

## Brazil & Argentina

- Inflation vs. growth

## Thailand & Japan

- Natural disaster

## Arab World

- Political transition

## North Korea & Iran

- Nuclear weapons

## Russia

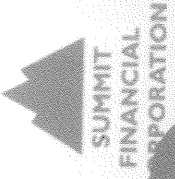
- Political uncertainty

## Europe

- Sovereign debt
- Integration/separation
- Inflation/deflation

As of December 30, 2011  
Source: AllianceBernstein

# Major World Markets



South Korea  
-11.53%

Russia  
-17.24%

Germany  
-17.38%

UK  
-5.42%

France  
-16.61%

S&P 500  
2.11%

Russell 2000  
-4.17%

India  
-26.33%

Hong Kong  
-18.47%

China  
-20.40%

Japan  
-20.52%

Brazil  
-15.56%

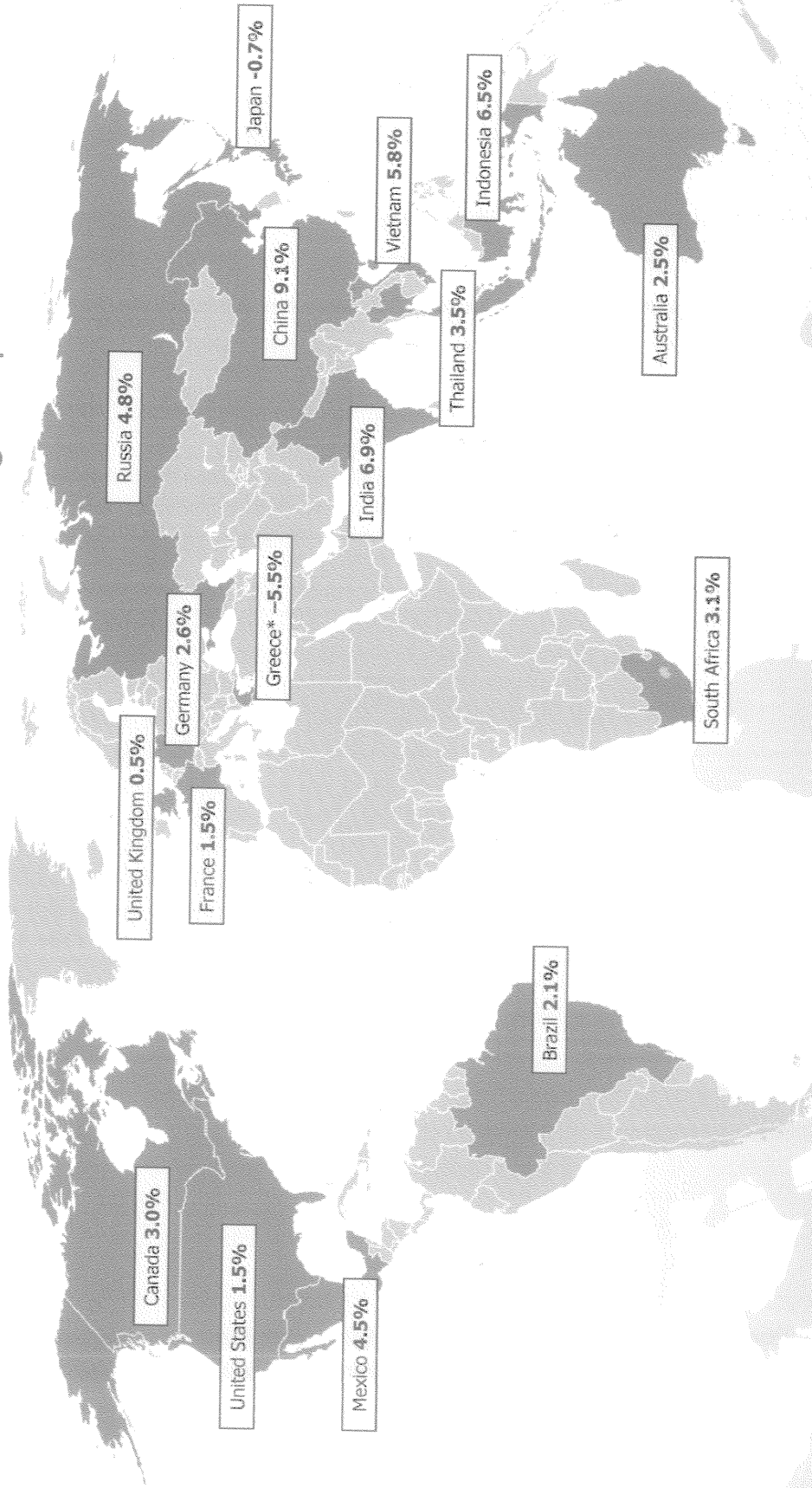
Australia  
-14.86%



# World GDP Growth



## Real GDP Growth Year over Year Percent Change September 2011



Source of chart data: Bloomberg as of 9/30/11. GDP equals the total income of everyone in the economy of the total expenditure on the economy's goods and services. GDP includes the value of final goods and services. Real GDP measures the value of goods and services at constant dollar prices.

\* Greek data is as of 3/31/2011

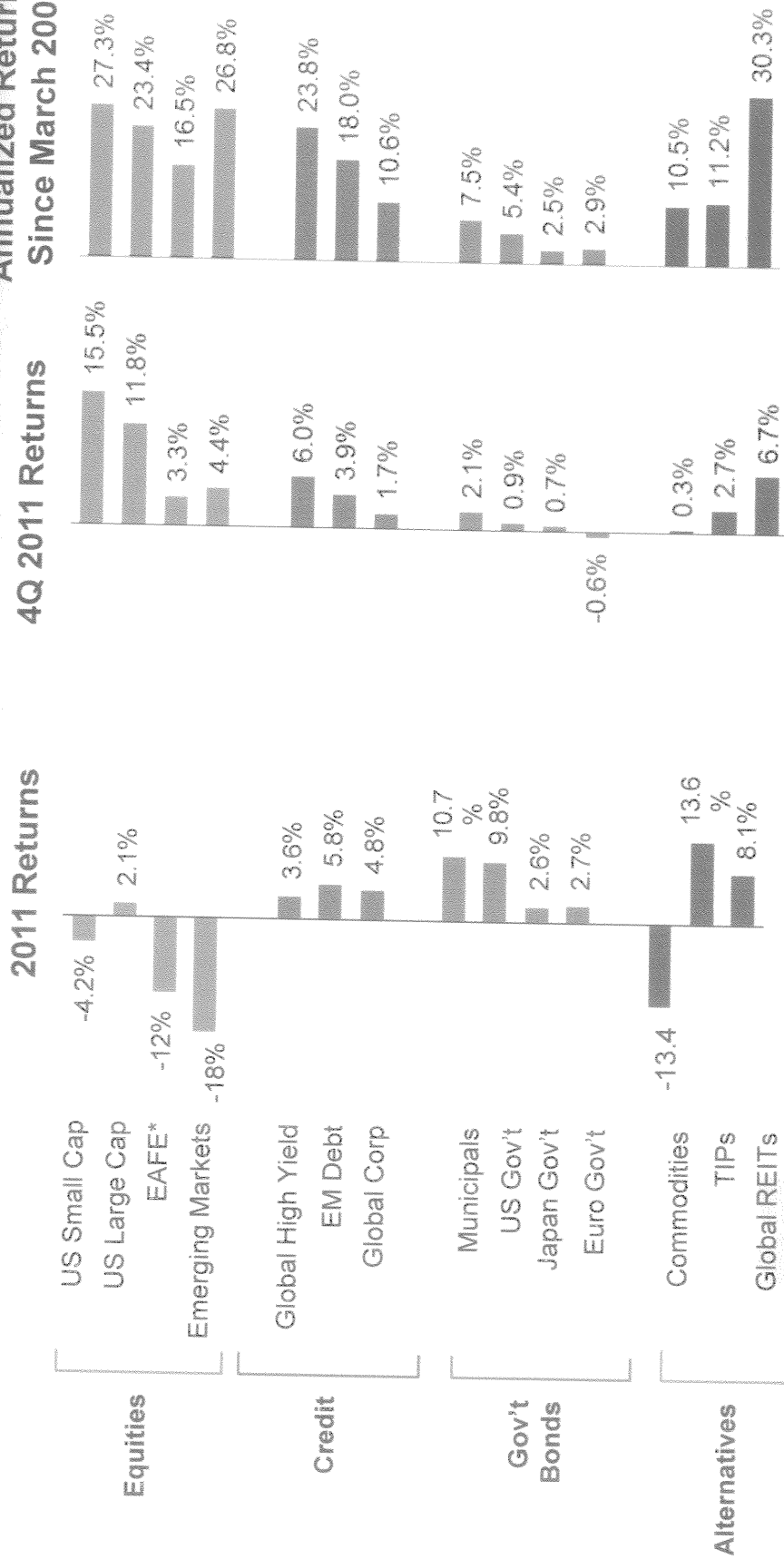


# 2011 A Turbulent Year for Risk Assets

Returns in USD



Annualized Returns  
Since March 2009



Past performance does not guarantee future results.

As of December 31, 2011

\*EAFE = Europe, Australasia, Far East

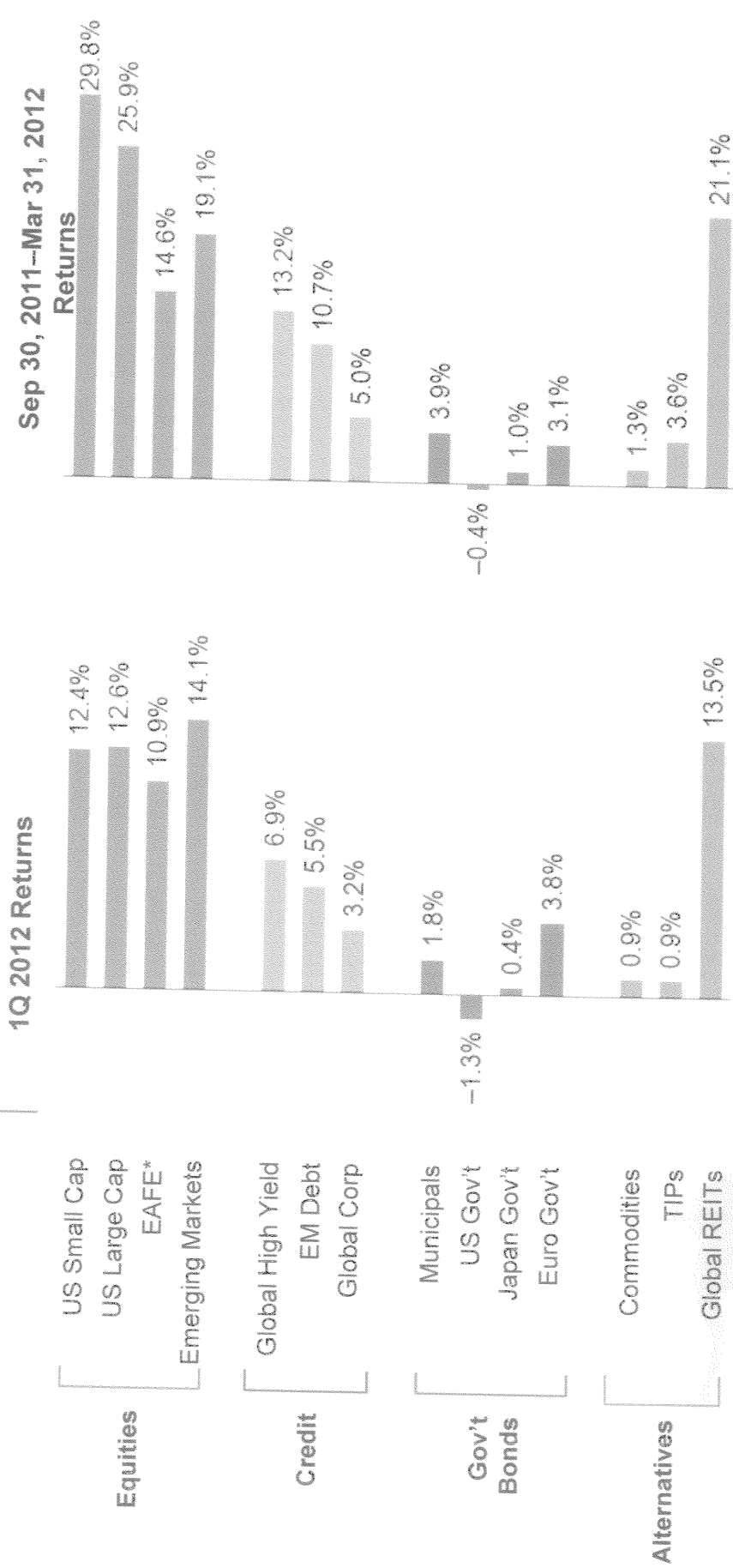
Global High Yield, Global Corp, Japan Gov't and Euro Gov't in hedged USD terms. All other non-US returns in unhedged USD terms. Individuals cannot invest directly in an index. Please see the end of the presentation for index definitions.

Source: Barclays Capital, DJ-UBS, FactSet, MSCI, Standard & Poor's and AllianceBernstein



# Risky Assets Outperformed in the First Quarter

Returns in US Dollars



Past performance does not guarantee future results.

As of March 31, 2012

\*EAFE = Europe, Australasia, Far East

Global High Yield, Global Corp, Japan Gov't and Euro Gov't in hedged USD terms. All other non-US returns in unhedged USD terms. Individuals cannot invest directly in an index. Please see the end of the presentation for index definitions.

Source: Barclays Capital, DJ-UBS, FactSet, FTSE, MSCI, Standard & Poor's and AllianceBernstein

# Equity Size and Style Returns

(as of 12/31/11)



## 4th Quarter 2011

### 1-Year

	Value	Core	Growth
Large	13.11%	11.84%	10.61%
Mid	13.37	12.31	11.24
Small	15.97	15.47	14.99

	Value	Core	Growth
Large	0.39%	1.50%	2.64%
Mid	-1.38	-1.55	-1.65
Small	-5.50	-4.18	-2.91

### 5-Year (Annualized)

	Value	Core	Growth
Large	-2.64%	-0.02%	2.50%
Mid	0.04	1.41	2.44
Small	-1.87	0.15	2.09

### 10-Year (Annualized)

	Value	Core	Growth
Large	3.89%	3.34%	2.60%
Mid	7.67	6.99	5.29
Small	6.40	5.62	4.48

Diversification does not assure a profit or protect against loss.

Source of chart data: FactSet, 12/31/11. Equity style boxes are based on targeted equity styles as determined by valuation measures. The Russell 1000 Value Index, Russell 1000 Index and Russell 1000 Growth Index are unmanaged indices that measure the performance of large-capitalization value stocks, large-capitalization stocks and large capitalization growth stocks, respectively. The Russell Midcap Value Index, Russell Midcap Index and the Russell Midcap Growth Index are unmanaged indices that measure the performance of mid-cap value stocks, mid-cap stocks and mid-cap growth stocks, respectively. The Russell 2000 Value Index, Russell 2000 Index and Russell 2000 Growth Index are unmanaged indices that measure the performance of small-cap value stocks, small-cap stocks and small-cap growth stocks, respectively. Each index includes reinvested dividends and cannot be purchased directly by investors. Index performance is shown for illustrative purposes only and does not predict or depict the performance of any investment. **Past performance does not guarantee future results.**

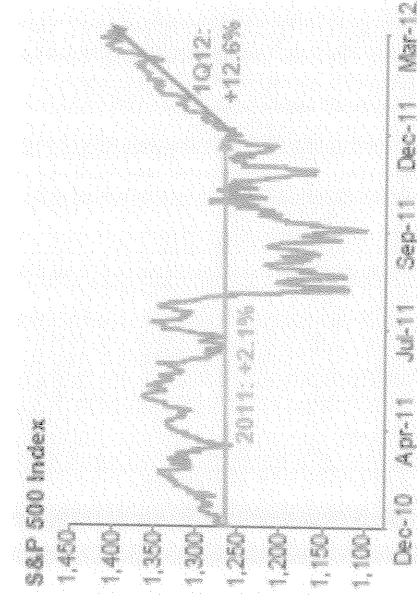
# Style Returns – 3.30.2012



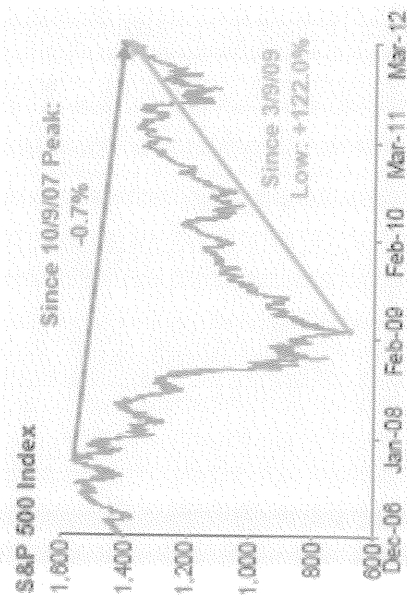
MARKET  
INSIGHTS

Returns by Style

Charts reflect index levels (price change only). All returns and annotations reflect total return, including dividends.



	1Q 2012			2011		
	Value	Blend	Growth	Value	Blend	Growth
Large	11.1%	12.6%	14.7%	0.4%	2.1%	2.6%
Mid	11.4%	12.9%	14.5%	-1.4%	-1.5%	-1.7%
Small	11.6%	12.4%	13.3%	-5.5%	-4.2%	-2.9%



	Since Market Peak (October 2007)			Since Market Low (March 2009)		
	Value	Blend	Growth	Value	Blend	Growth
Large	-10.6%	-0.7%	12.1%	122.9%	122.0%	128.7%
Mid	3.4%	7.3%	10.4%	164.0%	159.0%	155.2%
Small	-0.1%	4.6%	8.9%	146.9%	152.1%	156.9%

Source: Russell Investment Group, Standard & Poor's, FactSet, J.P. Morgan Asset Management.

All calculations are cumulative total return, including dividends reinvested for the stated period. Since Market Peak represents period 10/9/07 – 12/31/11, illustrating market returns since the most recent S&P 500 Index high on 10/9/07. Since Market Low represents period 3/9/09 – 12/31/11, illustrating market returns since the S&P 500 Index low on 3/9/09. Returns are cumulative returns, not annualized. For all time periods, total return is based on Russell-style indexes with the exception of the large blend category, which is reflected by the S&P 500 Index.

Equities

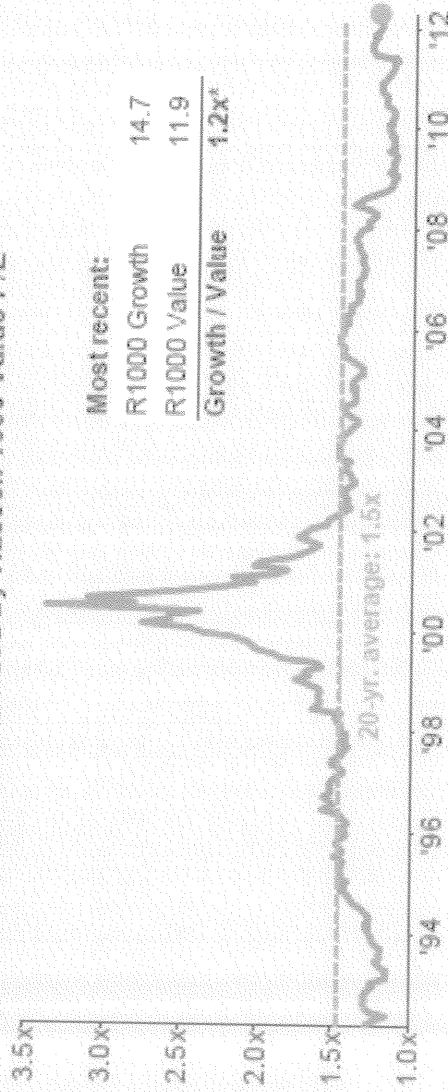
# Investment Style Valuations



MARKET  
INSIGHTS

## Investment Style Valuations

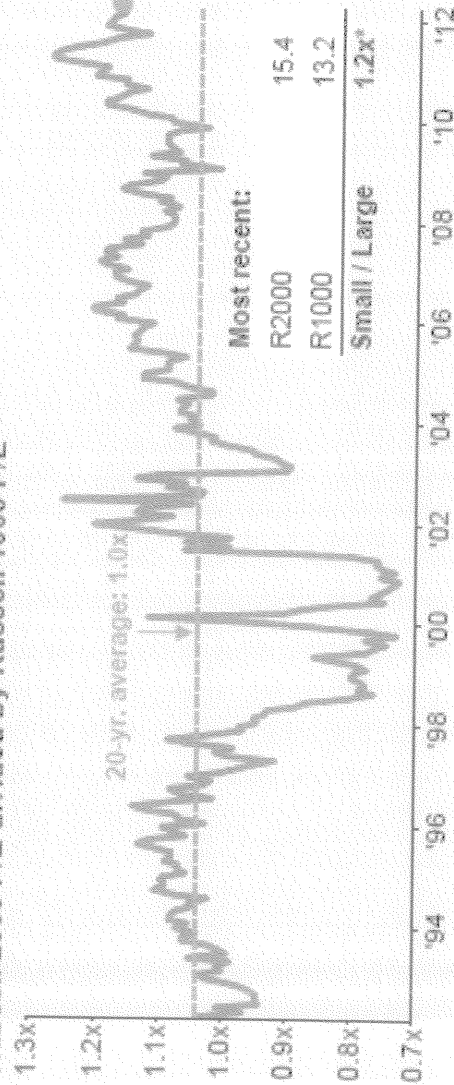
Russell 1000 Growth P/E divided by Russell 1000 Value P/E



Current P/E vs. 20-year avg. P/E

	Value	Blend	Growth
Large	11.9 / 14.0	13.2 / 16.7	14.7 / 21.0
Mid	13.4 / 14.0	15.0 / 16.3	16.9 / 21.9
Small	13.7 / 14.2	15.4 / 17.1	17.2 / 21.3

Russell 2000 P/E divided by Russell 1000 P/E



Current P/E as % of 20-year avg. P/E

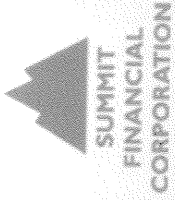
E.g.: Large Cap Blend stocks are 21.1% cheaper than their historical average.

	Value	Blend	Growth
Large	85.1%	78.9%*	69.9%
Mid	95.3%	91.7%	77.3%
Small	96.4%	89.9%	80.8%

Equities

Source: Russell Investment Group, IBES, FactSet, J.P. Morgan Asset Management.

# International Equity Index Returns – 3/30/2012



Index Returns through 3/30/2012	
International Equity	
Diversified Emerging Markets	13.99
Foreign Large Growth	13.01
Foreign Large Blend	12.20
Foreign Large Value	11.26

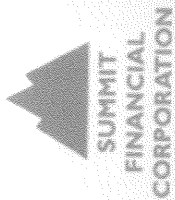
Source: Russell Investments, 2011. Past performance is no guarantee of future results. Investment returns and principal values fluctuate with changing market conditions, so that, when redeemed shares may be worth more or less than the original cost.



# Mutual Fund Flows

MARKET  
INSIGHTS

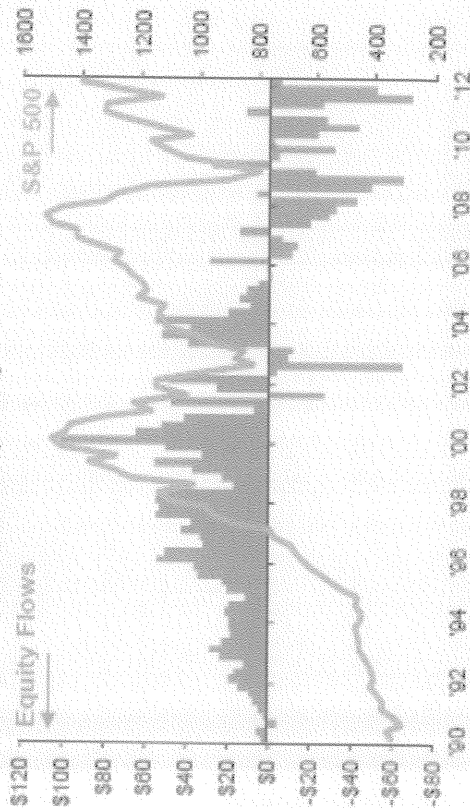
Mutual Fund Flows



Billions, USD	AUM	Fund Flows												
		YTD 2012	2011	2010	2009	2008	2007	2006	2005	2004	2003	2002	2001	2000
Domestic Equity	4,254	(5)	(135)	(95)	(28)	(148)	(65)	(0)	18	101	120	(26)	55	261
World Equity	1,535	4	5	58	28	(80)	139	149	106	71	24	(3)	(22)	53
Taxable Bond	2,525	49	136	230	311	22	97	45	26	5	40	125	76	(36)
Tax-exempt Bond	522	13	(12)	11	69	8	11	15	5	(15)	(7)	17	11	(14)
Hybrid	903	16	30	24	10	(26)	42	18	37	49	38	9	9	(36)
Money Market	2,653	(39)	(124)	(525)	(539)	637	654	245	62	(157)	(263)	(46)	375	159

## U.S. Equity Fund Flows and Market Performance

Billions USD, U.S. equity funds, quarterly



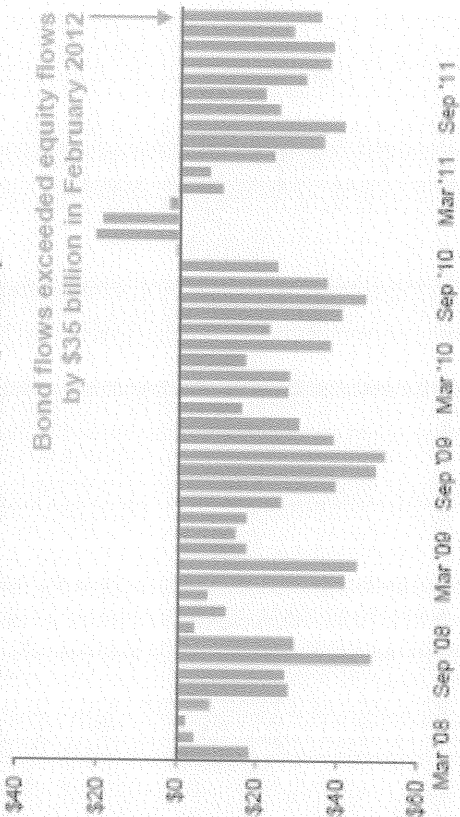
Source: Investment Company Institute, J.P. Morgan Asset Management.

Data include flows through February 2012 and exclude ETFs. ICI data are subject to periodic revisions. World equity flows are inclusive of emerging market, global equity and regional equity flows. Hybrid flows include asset allocation, balanced fund, flexible portfolio and mixed income flows.

Data are as of 3/31/12.

## Difference Between Flows Into Stock and Bond Funds

Billions, USD, U.S. and international funds, monthly



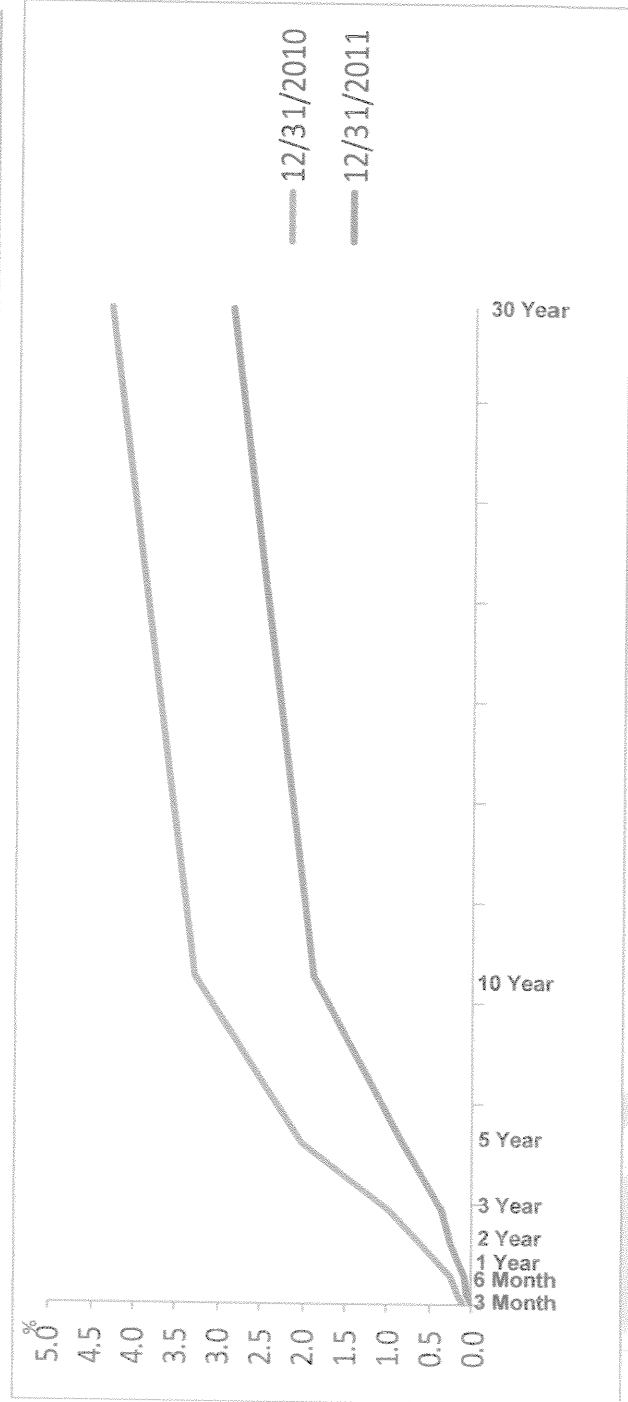
Asset Class

# U.S. Interest Rates and the Yield Curve



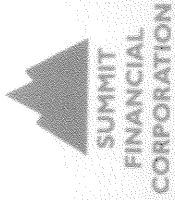
as of 12/31/11

	12/31/2010	12/31/2011	Change
Fed Funds	0-0.25%	0-0.25%	0 bps
2 Years	0.59	0.24	-35
10 Years	3.29	1.88	-142
30 Years	4.33	2.89	-144

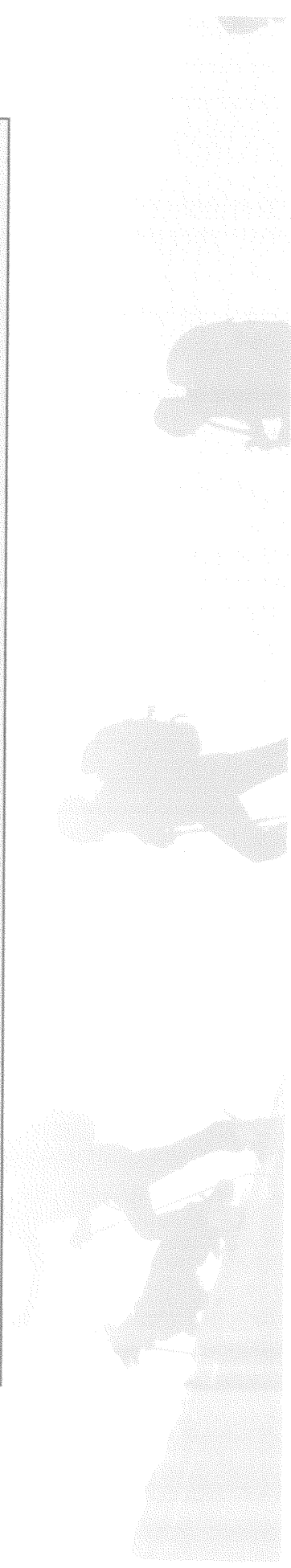


Source: Bloomberg, 12/31/11; data shown are on-the-run Treasury yields. Past performance does not guarantee future results

# Fixed Income Index Returns – 3/30/2012

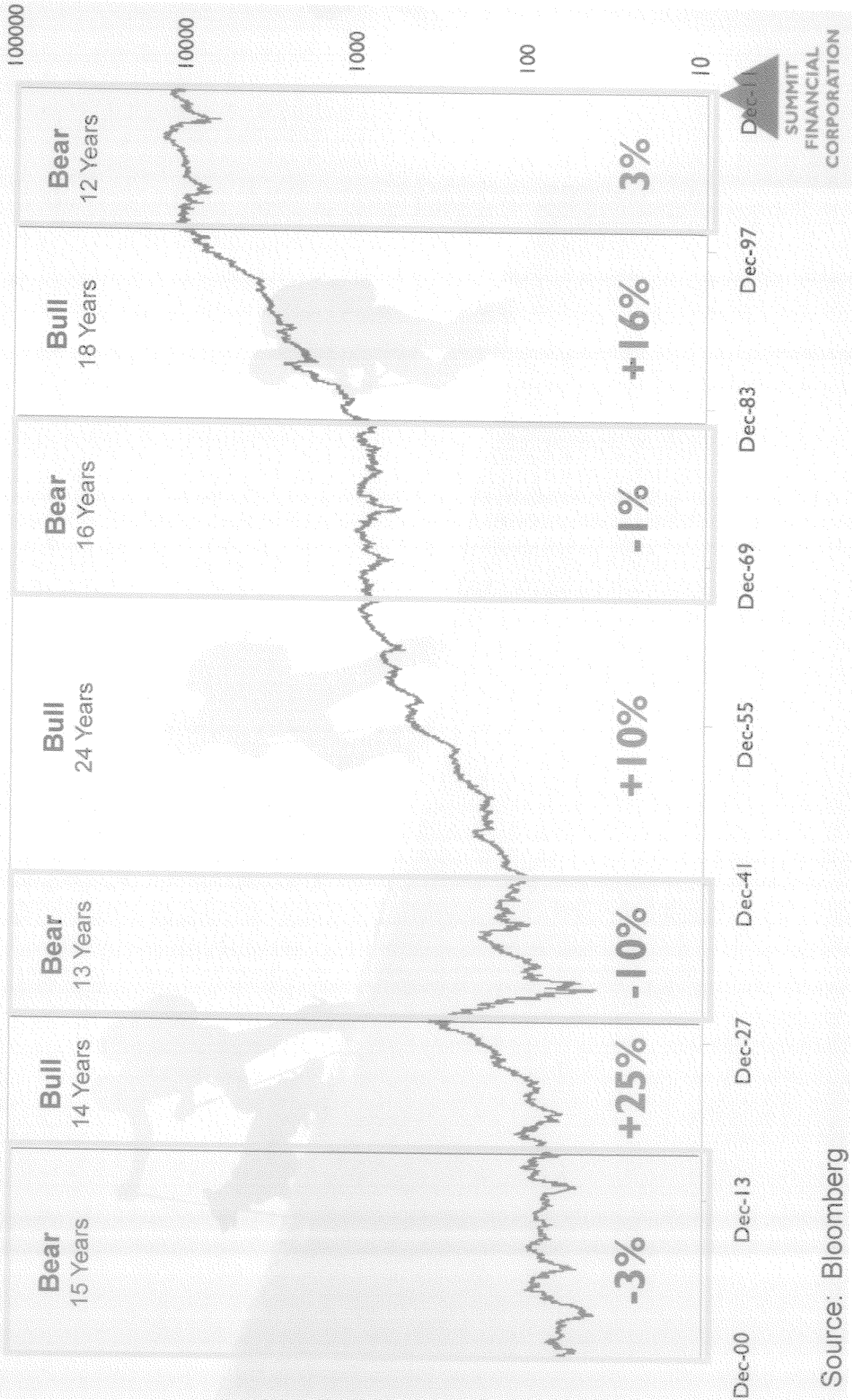


Fixed Income	
High Yield Bond	5.40
Multisector Bond	3.80
World Bond	1.52
Short Term Bond	1.07
Intermediate-Term Bond	1.04
TIPS	0.93
Intermediate Government	-0.36





# Dow Jones Industrial Average 1900-2011

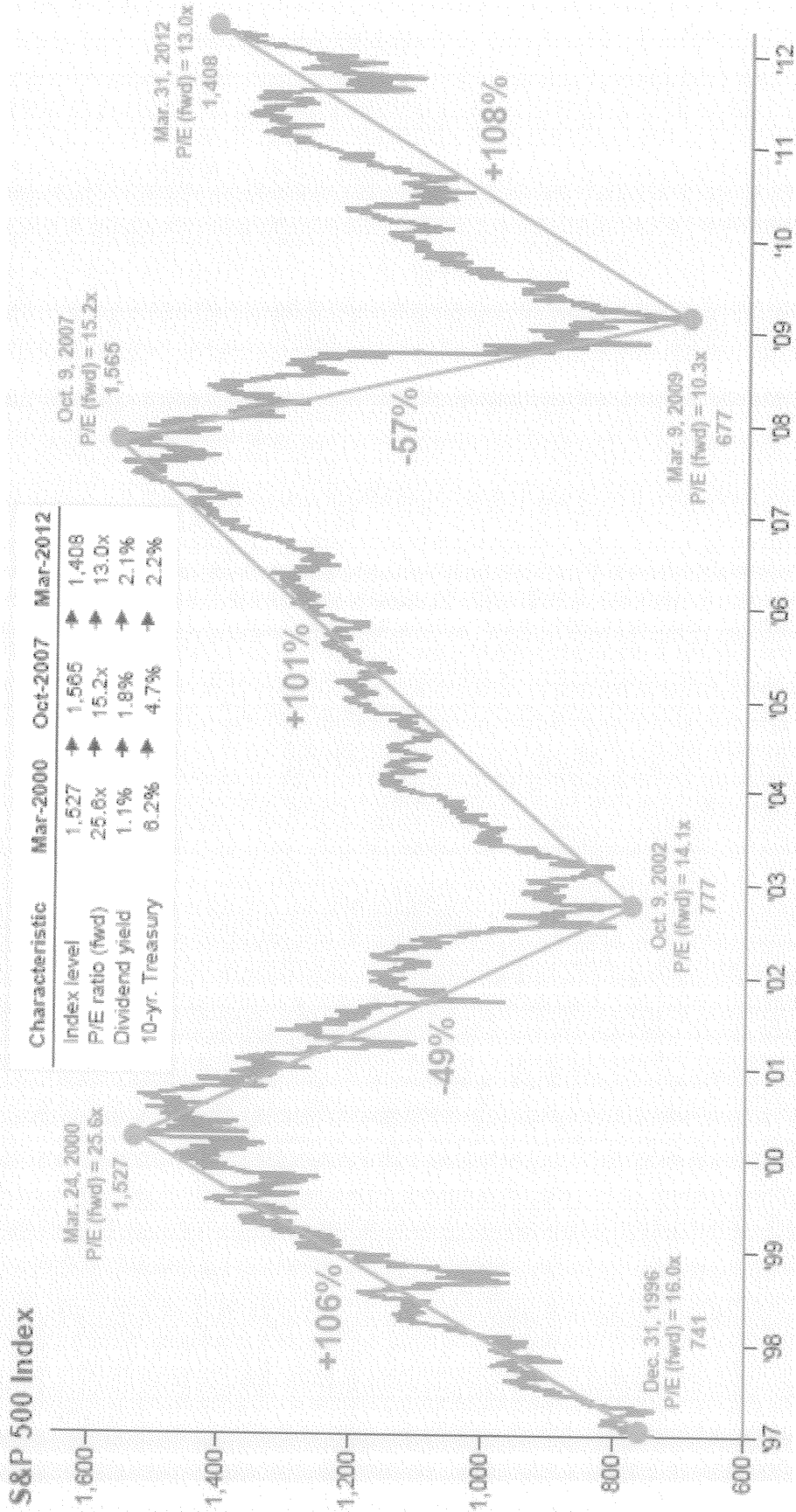


# S&P 500 at Inflection Points



MARKET  
INSIGHTS

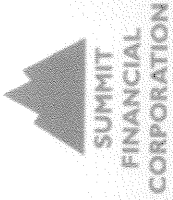
S&P 500 Index at Inflection Points



Source: Standard & Poor's, First Call, Compustat, FactSet, J.P. Morgan Asset Management.

Dividend yield is calculated as the annualized dividend rate divided by price, as provided by Compustat. Forward Price to Earnings Ratio is a bottom-up calculation based on the most recent S&P 500 Index price, divided by consensus estimates for earnings in the next twelve months (NTM), and is provided by FactSet Market Aggregates. Returns are cumulative and based on S&P 500 Index price movement only, and do not include the reinvestment of dividends. Past performance is not indicative of future results.

# Kent County Domestic Equity Style Box 48%



	VALUE	BLEND	GROWTH
LARGE CAP	American Mutual R6 7% Vanguard Value Index S 7%		Vanguard Growth Index 8% American Funds AMCAP R6 7%
MID CAP		Vanguard Mid Cap Index 9% Vanguard REIT 2%	
SMALL CAP	American Beacon Small Cap Val Inst 1% Vanguard Small Cap Value Index 2%		Vanguard Small Growth Index 2% T Rowe Price New Horizon 1%

Specialty: Goldman Sachs Commodity Strategy 1%  
Vanguard Mining and Precious Metals 1%

# Kent County International Equity Style Box 12%



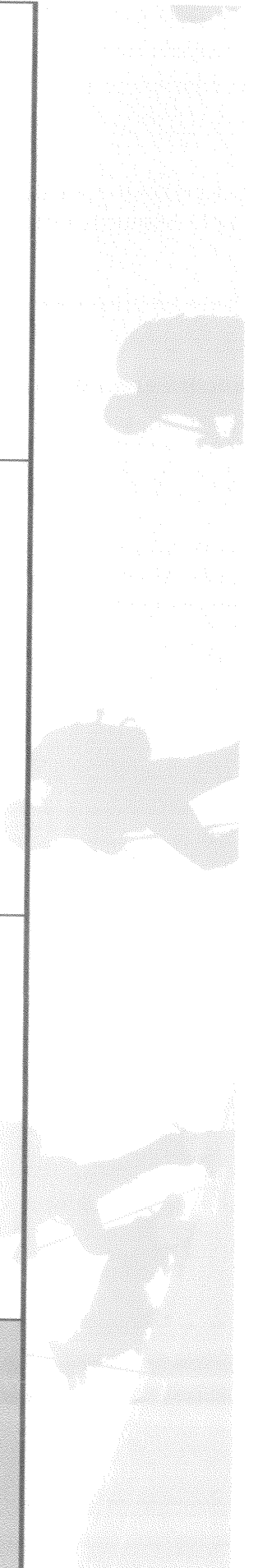
	VALUE	BLEND	GROWTH
LARGE CAP		EuroPacific Growth 4%  Vanguard Developed Market Index 4%	
MID CAP		Oppenheimer Developing Markets 4%	
SMALL CAP			



# Kent County Water Authority Fixed Income Style Box 40%



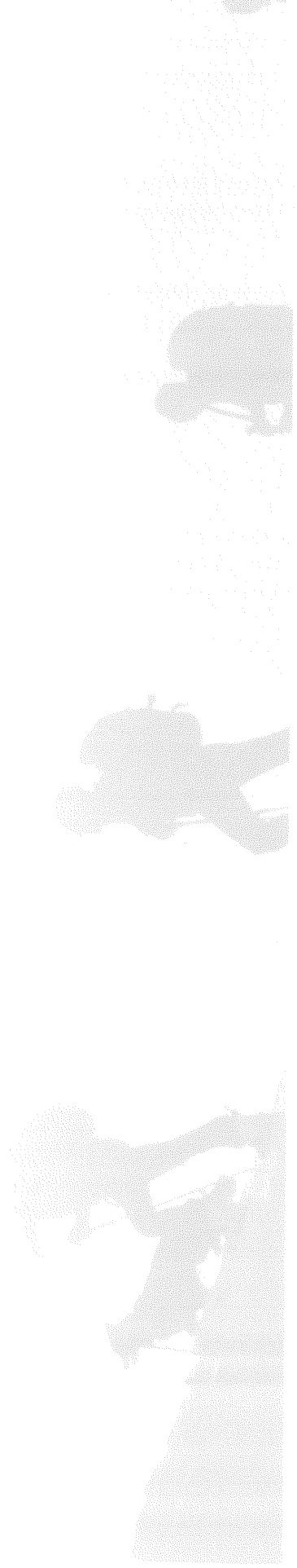
	SHORT TERM	INTERMEDIATE TERM	LONG TERM
HIGH QUALITY	Fixed Fund 15%	Pru Total Return WAM Core Bond Plus Vanguard Total Bond Index 10%	Vanguard Inflation Protected Securities 3%
MEDIUM QUALITY		Oppenheimer International Bond 4%	
LOW QUALITY		Vanguard High Yield 8%	



# Due Diligence



- See Fiduciary Reports



# **EXHIBIT B**

Kent County Water Board Meeting

April 19, 2012

## CERTIFICATE OF ADOPTING RESOLUTION

The undersigned authorized representative of Kent County Water Authority (the Employer) hereby certifies that the following resolutions were duly adopted by Employer on \_\_\_\_\_, and that such resolutions have not been modified or rescinded as of the date hereof;

WHEREAS, the Employer is a Governmental Agency and, therefore, its pension plan is not subject to ERISA. For ease of adopting this plan restatement to comply with various tax law changes, the Employer is utilizing a Volume Submitter Defined Benefit document; however, the references to ERISA are in no way intended to subject this Plan to ERISA rules. As a Governmental Agency, the plan can not be subject to ERISA and all references to ERISA, and to any and all provisions in this restatement are not required to be included in a plan of a Governmental Agency, are to be ignored.

RESOLVED, the Restatement of the Kent County Water Authority Pension Plan, effective January 1, 2012, is hereby approved and adopted and that an authorized representative of the Employer is hereby authorized and directed to execute and deliver to the Administrator of the Plan one or more counterparts of the restatement.

The undersigned further certifies that attached hereto is a copy of the restated Plan Document approved and adopted in the foregoing resolution.

Date: \_\_\_\_\_

Signed: \_\_\_\_\_

\_\_\_\_\_  
[print name/title]





# **EXHIBIT C**

Kent County Water Board Meeting

April 19, 2012

Kent County Water Authority  
 STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCE

AS OF 02/2012

ACCOUNT DESCRIPTION	CURRENT MONTH		YEAR-TOTAL		ACTUAL OVER/ UNDER BUDGET
	BUDGET	ACTUAL	BUDGET	ACTUAL	
<b>REVENUES</b>					
1-4150 MERCHANDISING & JOBBING	416.66	-673.84	3333.28	2997.57	-335.71
1-4160 M & J COSTS & EXPENSES	1500.00	-1000.37	12000.00	7374.17	-4625.83
1-4190 INTEREST & DIVIDEND INC.	7500.00	280.84	60000.00	26548.43	-33451.57
1-4210 MISC. NON-OPER. INCOME	2083.33		16666.64	17113.86	447.22
TOTALS FOR OTHER INCOME	11499.99	-1393.37	91999.92	54034.03	-37965.89
1-461A METERED SALES - GC	1136282.83	868606.62	9090262.64	10020937.85	930675.21
1-461B METERED SALES - IC	290093.66	118268.11	2320749.28	2201380.73	-119368.55
1-4620 PRIVATE FIRE PROTECTION			96224.50	92568.84	-3655.66
1-4630 PUBLIC FIRE PROTECTION			651109.00	650830.22	-278.78
1-4640 SALES - PUBLIC AUTHORITIES	62229.08	27768.13	497832.64	513250.19	15417.55
1-4660 SALES FOR RESALE			50000.00	91798.50	41798.50
1-4710 MISC. SERVICE REVENUE	14172.16	12994.16	113377.28	122568.66	9191.38
1-4740 OTHER WATER REVENUES	3750.00	2518.03	30000.00	29354.09	-645.91
TOTALS FOR OPERATING REVENUE ACCTS.	1506527.73	1030155.05	12849555.34	13722689.08	873133.74
TOTALS FOR REVENUES	1518027.72	1028761.68	12941555.26	13776723.11	835167.85
<b>EXPENDITURES</b>					
1-6020 PURCHASED WATER	402500.00	284005.59	3220000.00	3104540.31	115459.69
1-6140 MAINTENANCE OF WELLS	20.00		160.00	489.98	-329.98
TOTALS FOR SOURCE OF SUPPLY EXPENSES	402520.00	284005.59	3220160.00	3105030.29	115129.71
1-6210 FUEL FOR PUMPING	95.83	3800.00	766.64	3900.52	-3133.88
1-6230 POWER PURCHASED	36500.00	30268.08	292000.00	211327.89	80672.11
1-624A PUMPING LABOR	3333.33	5944.55	26666.64	40659.10	-13992.46
1-624B PUMPING EXPENSES	289.16	615.82	2313.28	3032.30	-719.02
1-6310 MAINT STRUCT & IMPROVE	2358.33	4243.98	18866.64	38295.27	-19428.63

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Kent County Water Authority  
 STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCE

AS OF 02/2012

ACCOUNT DESCRIPTION	CURRENT MONTH		YEAR TO DATE		ACTUAL OVER/UNDER BUDGET
	BUDGET	ACTUAL	BUDGET	ACTUAL	
1-6330 MAINT PUMPING EQUIPMENT	3166.66	6953.94	25333.28	25592.20	-258.92
TOTALS FOR PUMPING EXPENSES	45743.31	51826.37	365946.48	322807.28	43139.20
1-6410 CHEMICALS	13775.00	16064.65	110200.00	85499.08	24700.92
1-642A OPERATION LABOR	6391.66	8917.62	51133.28	49770.14	1363.14
1-642B OPERATION EXPENSES	3041.66	4969.78	24333.28	30279.00	-5945.72
1-6510 MAINT STRUCT & IMPROVE		253.35		253.35	-253.35
1-6520 MAINT WATER TREAT EQUIP	133.33	400.86	1066.64	2703.86	-1637.22
TOTALS FOR WATER TREATMENT EXPENSES	23341.65	30606.26	186733.20	168505.43	18227.77
1-662A T & D LABOR	2108.33		16866.64	10880.73	5985.91
1-662B T & D SUPPLIES & EXP	5354.16	11345.73	42833.28	46617.51	-3784.23
1-663A T & D METER LABOR	3829.16	3580.61	30633.28	26196.48	4436.80
1-663B T & D METER SUPP & EXP	1095.83	1414.33	8766.64	14202.56	-5435.92
1-6650 T & D MISC	937.50	302.57	7500.00	1966.44	5533.56
1-6710 MAINT STRUCT & IMPROV	520.83		4166.64	4999.00	-832.36
1-6720 MAINT RESERVOIR & STDPIPE	1041.66	148.64	8333.28	11272.08	-2938.80
1-6730 MAINT T & D MAINS	48893.75	36709.01	391150.00	361533.35	29616.65
1-6750 MAINT SERVICES	32926.33	23528.58	213531.64	166779.02	46752.62
1-6760 MAINT METERS	6060.83	8806.51	48486.64	79962.72	-31476.08
1-6770 MAINT HYDRANTS	7250.00	16442.58	58000.00	82781.89	-24781.89
1-6790 TRANSFER TO CONSTRUCTION	-927.91	-8.10	-7423.28	-505.37	-6917.91
TOTALS FOR TRANS. & DISTR. EXPENSES	109090.47	102270.46	822844.76	806686.41	16158.35
1-902A METER READING LABOR	17375.75	15436.99	90993.00	65329.64	25663.36
1-902B METER READING SUPP & EXP	186.25		1490.00	216.73	1273.27
1-903A CUSTOMER RECORDS LABOR	14779.66	21943.45	119015.28	128683.05	-9667.77
1-903B CUSTOMER RECORDS SUPP	5683.33	4970.69	45466.64	35535.75	9930.89

Kent County Water Authority  
 STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCE

AS OF 02/2012

ACCOUNT DESCRIPTION	CURRENT MONTH		YEAR TO DATE		ACTUAL OVER/UNDER BUDGET	
	BUDGET	ACTUAL	BUDGET	ACTUAL	BUDGET	ACTUAL
TOTALS FOR CUSTOMER ACCT. EXPENSES	38024.99	42351.13	256964.92	229765.17	256964.92	27199.75
1-9200						
ADM & GENERAL SALARIES	26274.41	32221.83	216432.28	212663.48	216432.28	3768.80
1-9210						
OFFICE SUPPLIES & EXP	11083.33	14597.12	88666.64	94996.25	88666.64	-6329.61
1-9230						
OUTSIDE SERVICES	10416.66	2899.00	83333.28	72745.05	83333.28	10588.23
1-9240						
PROPERTY INSURANCE			234287.00	177205.23	234287.00	57081.77
1-9250						
INJURIES & DAMAGES	50.83		406.64	102.38	406.64	304.26
1-9260						
EMPLOYEE PENSION & BENEF	54868.58	52128.14	660948.68	692834.32	660948.68	-31885.64
1-9280						
REGULATORY COMM EXP	5625.00		45000.00	53179.26	45000.00	-8179.26
1-930B						
MISC GENERAL EXPENSE	1125.00	1250.00	9000.00	10031.09	9000.00	-1031.09
1-930C						
MISC GENERAL EXPENSE	3000.00		24000.00		24000.00	24000.00
1-932A						
MAINT GENERAL PLANT	9166.66	15831.47	73333.28	87510.84	73333.28	-14177.56
1-932B						
MAINT VEHICLES	12416.66	14400.99	99333.28	121764.63	99333.28	-22431.35
1-9330						
UNASSIGNED TIME VAC HOL	17901.91	10826.38	143215.28	184903.69	143215.28	-41688.41
1-9340						
UNCOLLECTIBLE (WRITE OFF)		475.94		475.94		-475.94
TOTALS FOR ADM. & GENERAL EXPENSES	151929.04	144630.87	1677956.36	1708412.16	1677956.36	-30455.80
1-4030						
DEPRECIATION EXPENSE	96666.66	193333.34	773333.28	870000.03	773333.28	-96666.75
1-4080						
TAXES OTHER THAN INCOME	12541.66	39048.19	100333.28	205401.72	100333.28	-105068.44
1-4270						
INTEREST-LONG TERM DEBT	107157.00	214314.00	857256.00	964413.00	857256.00	-107157.00
1-4280						
AMORTIZATION OF DEBT DISC	5833.33	11666.66	46666.64	52499.97	46666.64	-5833.33
TOTALS FOR OTHER EXPENSES	222198.65	458362.19	1777589.20	2092314.72	1777589.20	-314725.52
TOTALS FOR EXPENDITURES	992848.11	1114052.87	8308194.92	8433521.46	8308194.92	-125326.54
EXCESS OF REVENUE OVER EXPENDITURES FOR general	525179.61	-85291.19	4633360.34	5343201.65	4633360.34	709841.31

KENT COUNTY WATER AUTHORITY  
CASH RECEIPTS & DISBURSEMENTS  
FY 2011 - 2012

11  
10

	2011			2011			2011			2012			RATE REVENUE		
	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	APRIL	MAY	JUNE	JUL	RY 10-11	RY 11-12
<b>BEGINNING MONTH BALANCE</b>	37,726,775	34,181,115	34,175,000	34,235,508	35,184,171	35,514,748	34,910,053	34,978,412	34,932,401				1,608,840	1,458,444.12	1,458,444.12
<b>CASH RECEIPTS:</b>															
Collections	1,966,570	1,533,789	1,507,240	3,333,369	1,967,940	1,472,113	2,290,840	1,468,633	1,066,268				1,588,117	1,422,222.99	1,422,222.99
Interest Earned	24,595	287	312	265	300	1,577	242	281	10,201				3,697,980	3,425,570.55	3,425,570.55
Other													1,740,472	1,773,750.10	1,773,750.10
<b>TOTAL CASH RECEIPTS</b>	<b>39,717,940</b>	<b>35,715,191</b>	<b>35,682,553</b>	<b>37,569,141</b>	<b>37,132,410</b>	<b>36,988,438</b>	<b>37,201,135</b>	<b>36,447,326</b>	<b>36,008,870</b>				977,667	1,145,952.53	1,145,952.53
<b>CASH DISBURSEMENTS:</b>															
Purchased Water	619,643	317,621	298,557	849,466	298,580	331,457	393,445	331,244	370,886				1,608,840	1,458,444.12	1,458,444.12
Electric Power	24,408	25,012	34,274	37,769	27,363	27,356	29,204	30,268	35,627				1,588,117	1,422,222.99	1,422,222.99
Payroll	143,753	186,550	149,225	134,783	166,162	141,808	245,253	161,782	141,763				3,697,980	3,425,570.55	3,425,570.55
Operations	67,012	78,251	42,183	75,936	78,684	94,784	39,473	55,983	62,336				1,740,472	1,773,750.10	1,773,750.10
Employee Benefits	282,802	109,906	53,346	57,148	55,556	54,861	54,971	56,985	55,663				1,193,207	1,293,229.87	1,293,229.87
Legal	2,856	1,765	2,715	3,634	4,039	3,514	3,109	2,899	2,899				2,315,872	2,252,906.16	2,252,906.16
Materials	166,404	129,947	51,562	79,855	77,651	46,567	33,319	47,385	37,429				977,667	1,145,952.53	1,145,952.53
Insurance	60,983	122,022	1,048	40,586	14,062	11,067	28,412	10,047	8,132				943,649	1,054,939.90	1,054,939.90
Sales Taxes	27,682	12,489	11,623	1,607	564	1,427	394	461	350				1,879,971	1,782,677.55	1,782,677.55
Refunds	251	7,874	547										1,119,045	912,317	912,317
Rate Case														2,042,267	2,042,267
Conservation															
Pilot	8,265														
Capital Expenditures (Other)															
Mishnock Well/Storage/Pump/T 221C	7,666	8,257	12,282	91,750	35,551	51,146	71,167	117,664	39,506						
Mishnock Treatment Facility	234C			32,458	358,196	365,857	366,432	581,119	221,585						
Read School House			148,807												
CIP Update					1,020	2,530	1,235	2,773							
Read School House Tank	235A			780											
Quaker Lane	236C			2,490	11,920										
2007 Infrastructure	240C						332								
2009 A Infrastructure	284B														
2009 B Infrastructure	243C														
2010 Infrastructure Design	248C			488,950	444,797	723,483	317,886	4,771	3,502						
Water Street EG	248C						1,020	2,487							
U. S. Bank - Debt Service (P. & I.)	249C					3,016		124							
Water Protection	250C						616,536								
	3,264,328	51,547	50,282	110,342	63,517	42,511	20,089	56,263	61,576						
	59,527.62	1,540,191	1,447,045	2,384,971	1,637,663	2,078,385	2,222,722	1,514,925	1,055,001						
<b>TOTAL DISBURSEMENTS</b>	<b>34,181,115</b>	<b>34,175,000</b>	<b>34,235,508</b>	<b>35,184,171</b>	<b>35,514,748</b>	<b>34,910,053</b>	<b>34,978,412</b>	<b>34,932,401</b>	<b>34,953,868</b>						
<b>BALANCE END OF MONTH</b>															

CASH LOCATION  
FISCAL YEAR 2011-2012

	JUL 2011	AUG 2011	SEP 2011	OCT 2011	NOV 2011	DEC 2011	JAN 2012	FEB 2012	MAR 2012	APR 2012	MAY 2012	JUN 2012
<b>CASH LOCATION:</b>												
Citizens Bank - Payroll	\$ 40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00	40,000.00			
Fleet Bank - Deposit	305,831.16	64,248.86	150,908.96	1,046,044.68	78,477.24	165,309.32	670,726.30	55,436.12	93,330.57			
Fleet Bank - Checking	156,293.32	3,350.65	199,148.91	73,321.40	136,350.06	202,743.97	144,229.70	159,183.79	291,103.43			
	502,124.48	107,599.51	390,057.87	1,159,366.08	254,827.30	408,053.29	854,956.00	254,619.91	424,434.00	0.00	0.00	0.00
<b>U. S Bank - Project Funds</b>												
Revenue	1,431,740.63	1,456,433.84	1,131,621.93	1,041,850.80	2,658,199.96	2,298,204.62	2,391,272.32	2,841,214.72	2,103,199.32			
Infrastructure Fund	8,424,924.93	8,428,020.46	8,319,036.54	8,362,425.08	8,214,922.08	7,998,651.81	8,174,706.13	8,624,773.65	9,074,838.73			
Operation Reserve Allowance	364,593.01	388,900.55	413,208.33	437,516.25	461,824.40	486,132.68	510,440.96	534,750.09	559,058.82			
Operation & Maintenance Reserve	2,367,556.27	2,367,576.41	2,367,596.56	2,373,447.05	2,373,467.19	2,373,486.69	2,373,506.87	2,373,527.02	2,373,545.87			
Renewal & Replacement Fund	343,057.93	351,394.00	359,730.18	368,066.47	239,442.31	247,778.17	256,113.62	139,315.30	147,650.19			
Renewal & Replacement Reserve	786,143.95	786,150.71	786,157.49	1,023,851.04	1,023,858.05	1,023,866.59	1,023,876.57	1,023,885.25	1,023,893.37			
Debt Service Fund - 2001	94,044.99	159,660.15	225,273.46	290,887.12	358,053.57	424,056.43	339,057.44	405,060.83	471,063.92			
Debt Service Reserve - 2001	781,148.43	781,148.43	781,148.43	781,148.43	781,148.43	781,148.43	781,148.43	781,148.43	781,148.43			
General Project - 2002	15,562,632.13	15,562,764.25	15,414,089.25	15,035,787.90	14,578,687.11	14,035,284.92	13,668,955.12	13,087,954.94	12,857,541.86			
Debt Service Fund - 2002	213,944.89	370,804.93	527,660.62	684,517.42	840,975.75	997,735.24	748,973.71	905,734.28	1,062,494.11			
Debt Service Reserve - 2002	1,823,614.72	1,823,614.72	1,823,614.72	1,823,614.72	1,823,614.72	1,823,614.72	1,823,614.72	1,823,614.72	1,823,614.72			
Debt Service Fund - 2004	182,706.53	288,050.43	393,390.58	498,731.55	602,740.26	707,749.68	752,728.91	857,739.91	962,751.19			
Debt Service Reserve - 2004	1,302,166.08	1,302,882.73	1,302,921.82	1,302,960.91	1,302,986.97	1,304,289.97	1,279,061.84	1,279,061.84	1,288,633.67			
	\$ 34,180,398.97	34,175,001.12	34,235,507.78	35,184,170.82	35,514,748.10	34,910,053.24	34,978,412.64	34,932,400.89	34,953,868.20	0.00	0.00	0.00

# **EXHIBIT D**

Kent County Water Board Meeting

April 19, 2012

KENT COUNTY WATER AUTHORITY  
INVITATION TO BID  
FOR MATERIAL PURCHASE ONLY  
GENERAL CONSTRUCTION MATERIALS

BID OPENING CONFERENCE – MARCH 27, 2012

The Bid Opening Conference for general construction materials was held at 10:00 a.m., March 27, 2012 per the requirements of the Bid Invitation advertised in the Providence Journal on Tuesday, March 6, 2012. Attendance at the pre-bid was not a mandatory requirement.

The work consists of furnishing general construction materials including but not limited to pipe, valves, fittings, hydrants and appurtenances consistent with those normally used throughout the Kent County Water Authority system. A detailed description can be found in the bid packages.

Attendees of the Bid Opening Conference were as follows:

1. KCWA, Timothy Brown and John Duchesneau
2. E.J. Prescott, Robert Lawton

At 10:00 a.m. the Bid Opening Conference began with the opening the following bids:

1. Ti-Sales  
Donald G. Ladd, President, 36 Hudson Road, Sudbury, MA 01776

Total Bid Items 1.1 – 1.24 - \$17,772.79

**Note:**

- Only 1.1 – 1.24 bid items were listed.
- Bid Items 1.24 – 1.92 had no price listed.
- Total bid price was listed incorrectly.  
Total bid price: \$18,970.79 with a difference of \$1,198.

2. E. J. Prescott  
Edward H. Bouchau, Treasurer, 38 Albion Road, Lincoln, RI 02865

Total Bid Items 1.1 – 1.92 - \$248,127.89

**Note:**

- Total Bid Price was listed incorrectly.  
Total Bid Price: \$248,038.49 with a difference of \$89.40

The Bid Opening Conference was closed at 10:07 a.m.



**Timothy Brown**

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**From:** rob.lawton@ejprescott.com  
**Sent:** Wednesday, March 28, 2012 1:05 PM  
**To:** tbrown@kentcountywater.org  
**Subject:** Fw: Material Bid Totals  
**Attachments:** 20120328114039163.pdf

Tim, Sorry we transpose two numbers:

item 1.53 \$290.39 \$871.17

item 1.59 \$330.24 \$990.72

Sorry For the mixup

Rob Lawton

-----Forwarded by Rob Lawton/EJPRESCOTT on 03/28/2012 01:00PM -----

To: "Rob Lawton" <[rob.lawton@ejprescott.com](mailto:rob.lawton@ejprescott.com)>  
From: "Rob Lawton" <[rob.lawton@ejprescott.com](mailto:rob.lawton@ejprescott.com)>  
Date: 03/28/2012 11:40AM  
Subject:

This E-mail was sent from "RNPABB87E" (8025e).

Scan Date: 03.28.2012 11:40:38 (-0400)

# **EXHIBIT E**

Kent County Water Board Meeting

April 19, 2012



**JAMES J. GEREMIA & ASSOCIATES, INC.**  
CONSULTING ENVIRONMENTAL ENGINEERS & SCIENTISTS

April 5, 2012

Mr. Timothy J. Brown, P.E.  
General Manager/Chief Engineer  
Kent County Water Authority  
P.O. Box 192  
West Warwick, RI 02893-0192

Re: KCWA Capital Improvements – Mishnock Area Transmission Main in the Towns of  
Coventry and West Greenwich, RI

Dear Mr. Brown:

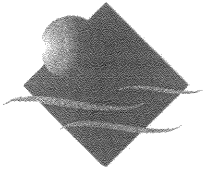
On Thursday (April 5, 2012) at 10:00 A.M., bids were received by the Kent County Water Authority and were opened and read for the **KCWA Capital Improvements – Mishnock Area Transmission Main in the Towns of Coventry and West Greenwich, RI**. The following general contractors submitted a sealed bid:

	COMPANY	TOTAL BID
1	Parkside Utility Construction Corp.	\$1,825,000.00
2	Boyle & Fogarty Construction Co., Inc.	\$1,829,945.00
3	C. B. Utility Co., Inc.	\$1,873,600.00

James J. Geremia & Associates, Inc. (JGA) has evaluated the bids on the basis of a general review of the bidders. The following are details of our evaluation.

- A. **General Review of the Bidders:** We reviewed the bids submitted by the contractors to determine if they had provided all documentation required by the contract documents. Our evaluation indicated that the contractors complied with all requirements.
- B. **Parkside Utility Construction Corp.'s Capability to Carry Out this Project:** Parkside Utility Construction Corp. has completed several projects to the satisfaction of the Kent County Water Authority.

In conclusion, based on the above, we have determined that Parkside Utility Construction Corp. submitted the lowest most responsive bid. It is, therefore, JGA's recommendation that the Kent County Water Authority award the contract for the **KCWA Capital Improvements – Mishnock**



Mr. Timothy J. Brown, P.E.  
General Manager/Chief Engineer  
Kent County Water Authority

April 5, 2012

Page 2

**Area Transmission Main in the Towns of Coventry and West Greenwich, RI in the sum of One Million Eight Hundred Twenty Five Thousand and No/100 Dollars (\$1,825,000.00) to Parkside Utility Construction Corp.**

If you have any questions, please call.

Very truly yours,

**JAMES J. GEREMIA & ASSOCIATES, INC.**

Richard M. Hencler, P.E.  
Project Manager

Enclosure

# **EXHIBIT F**

Kent County Water Board Meeting

April 19, 2012

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# MEMO

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**To:** Board Members  
**From:** Timothy Brown  
**Subject:** Water Supply System Management Plan Request for Proposal Received  
April 10, 2012  
**Date:** April 10, 2012

Three proposals were submitted to perform services for the Kent County Water Authority updating the existing Water Supply System Management Plan, required every 5 years. It needs to be submitted this year for compliance. Of the 3 engineers that submitted, all are capable of performing these services and we have worked with all of them in the past on various projects within the Kent County Water Authority. The two lowest proposers, James J. Geremia & Associates and C & E Engineering are within less than \$1,000 apart indicating a good proposal. The third proposer Pare Engineering was considerably higher than that, at least twice what the other two proposed. Pare Engineering has previously produced our documents in 2001 and 2007. After reviewing all three proposals, all contain similar content and approaches to completing the tasks assigned and I would recommend James J. Geremia & Associates Incorporated to produce our updated Water Supply System Management Plan along with the new requirement of the Demand Management Strategy. I will present this recommendation to the Board at the April 19, 2012 meeting for acceptance and approval.

KENT COUNTY WATER AUTHORITY  
REQUEST FOR PROPOSAL

CONSULTING SERVICES TO  
UPDATE WATER SUPPLY SYSTEM MANAGEMENT PLAN

PROPOSAL OPENING CONFERENCE – APRIL 10, 2012

The Proposal Opening Conference for consulting services to update the water supply system management plan was held at 10:00 a.m., April 10, 2012 per the requirements of the Bid Invitation advertised in the Providence Journal on Thursday, March 15, 2012. Attendance at the Pre-Proposal Conference was a mandatory requirement to submit a proposal at the opening.

Kent County Water Authority is seeking proposals from qualified consultants to provide professional services to evaluate and update the current Water Supply System Management Plan as it relates to the strategic goals of the Authority. The project area covers the entire Kent County Water Authority service area with approximately 430 miles of main, 27,000 services and includes all water producing and wholesale distribution facilities. The main concentration of water service is within the cities and towns of West Warwick, Coventry, East Greenwich, and includes portions of Warwick, Cranston, Scituate, West Greenwich and North Kingstown.

Attendees of the Proposal Opening Conference were as follows:

1. KCWA, Timothy Brown, John Duchesneau
2. Allison Vierd, Pare Corporation, 8 Blackstone Valley Place, Lincoln, RI, 334-4100
3. Tom Nicholson, C & E Engineering, 342 Park Avenue, Woonsocket, RI 762-1711

At 10:00 a.m. the Proposal Opening Conference began by Timothy Brown opening the following proposals:

1. James J. Geremia & Associates, Inc.
  - 8 Copies Received
  - Transmittal Letter signed by James J. Geremia, P. E., President
  - 7 Sections
  - Total Not to Exceed Amount: \$17,600.00
2. Pare Engineering
  - 8 Copies Received
  - Transmittal Letter signed by George G. Palmisciano, P. E., Senior Vice President
  - 7 Sections
  - Total Not to Exceed Amount: \$38,700.00
3. C & E Engineering
  - 8 Copies Received
  - Transmittal Letter signed by Thomas Nicholson, P. E., President/Chief Engineer
  - 6 Sections
  - Total Not to Exceed Amount: \$18,450.00

The Proposal Opening Conference was closed at 10:07 with all attendees having the opportunity at that time to remain and review all proposals opened.

# **EXHIBIT G**

Kent County Water Board Meeting

April 19, 2012



**IFR BUDGET**  
**MARCH 30, 2012**

	Balance	Engineering Est.	Total	CY2012	CY2013
2009B	\$2,667,148	\$250,000	\$2,917,148	\$2,200,000	\$717,148
2010A (Bid)	\$3,887,826	\$300,000	\$4,187,826	\$3,200,000	\$987,826
Water Street	\$172,490	\$30,000	\$202,490	\$202,490	\$0
Quaker P. S. (Bid)	\$2,807,000	\$300,000	\$3,107,000	\$2,000,000	\$1,107,000
2010B (est.)	\$8,000,000	\$500,000	\$8,500,000	\$2,000,000	\$6,500,000
			<b>Total Project</b>	<b>\$9,602,490</b>	<b>\$9,311,974</b>

	IFR FUND BALANCE	MARCH 2012
2012 Contributions to June 30, 2012		\$9,074,838
July thru Dec 2012		\$1,350,000
2013 Construction		\$2,700,000
		<b>\$5,400,000</b>

Total Fund Balance \$13,124,838

Remaining 2012 Funds \$3,522,348 → \$3,522,348  
\$8,922,348  
(\$389,626)

# **EXHIBIT H**

Kent County Water Board Meeting

April 19, 2012

**TASK ORDER NO. 5  
BETWEEN  
KENT COUNTY WATER AUTHORITY  
AND ENGINEER FOR SERVICES**

**DESIGN OF THE REHABILITATION OF THE QUAKER LANE PUMP STATION  
FOR THE KENT COUNTY WATER AUTHORITY WATER SYSTEM**

This is Task Order No. 5 attached and made part of the agreement between Kent County Water Authority (OWNER), and C&E Engineering Partners, Inc. (ENGINEER) dated June 18, 2007 for the Design of the Rehabilitation of the Quaker Lane Pump Station. This Task Order describes the Scope of Services, Period of Service and Method and Basis of Compensation associated with the implementation of the Construction Related Services portion of the engineering contract including Contract Administration and On Site Construction Observation associated with the construction of the project.

**1.0 SCOPE OF SERVICES**

C&E Engineering Partners, Inc. will perform the following scope of services associated with providing the Authority with the Construction Related Services portion of this project and describes the effort necessary to furnish the Authority with the required professional engineering services for the successful completion of the project.

**Scope of Services – Contract Related Services**

C&E will provide Contract Administration Services associated with the contract. These services shall include:

Contract Administration Services

- General administration of the construction contract.
- Act as Owner's representatives in the interpretation of the contract documents.
- Carry out site visits to gage the quality of construction and progress of construction.
- Conduct and attend field meetings.
- Process field orders and clarifications of the work.
- Review and recommend change orders/work orders associated with the work.
- Review and approve/disapprove catalog cuts and shop drawings submitted by the contractor for compliance with Contract Plans and Specifications.
- Review and witness inspection and tests associated with construction.
- Review contractor's application for payment. Make recommendations for approval and certify for approval by Authority.
- Review as-built drawings prepared by the contractor. This includes review of O&M manuals and instructions, record drawings, final field review, etc.
- Assist in project closeout and startup.
- Coordinate ongoing project administration between the Authority and the project

contractor.

- Coordinate startup of station with RIDOH, as necessary.

### On Site Construction Observation

C&E will provide full time resident observation services as requested by the Authority. These services shall generally include the following tasks.

#### 1. On-site Construction Observation

C&E will meet with the Authority to determine the exact level of onsite observation desired to address project goals and if required provide a full-time resident project representative to meet these objectives of observing the quality of construction of the project.

#### 2. Various Construction Related Observation Tasks

The onsite construction observer as may be requested by the Authority shall complete the following tasks. These tasks will be coordinated with the Construction Administration Services portion of the contract.

- Review schedules and contract compliance.
- Attend onsite conferences and meetings.
- Serve as Contract Administrator's liaison with Contractor.
- Review shop drawings and samples.
- Review of completed work. Reject defective work, tests and inspections.
- Interpretation of contract documents.
- Maintain accurate recordings of onsite activities in a daily field log. Record all pertinent contract related activities and submit to the Authority.
- Provide periodic construction inspection reports to the Authority.
- Review pay requests for conformance with schedule of values and progress of completed work.
- Verify proper submission of all certificates, maintenance and O&M manuals.
- Issue Certificate of Substantial Completion.
- Prepare construction punch list and identify remaining work for conformance with contract documents.
- Coordinate and review subcontract services as may be required during the course of the contract.

## **2.0 PERIOD OF SERVICE**

The time period for performance of the services as set forth in the Scope of Services for the Project shall be approximately three hundred sixty five (365) days from receipt of a written authorization to proceed and will necessarily be incorporated into the schedule for the pump station contract. This time period is estimated based upon the contractor's construction schedule to complete the construction contract. The actual time period for services may be longer or shorter based on performance of the contractor which is necessarily beyond the control of C&E. Additional services may materially add to the time required to complete the work of the Project. C&E Engineering Partners, Inc. will be entitled to an equitable adjustment in the Period of Service as a result of services added.

### 3.0 BASIS OF COMPENSATION

KCWA shall pay C&E Engineering Partners, Inc. for services rendered as described in the attached Scope of Services on a billing rate basis in accordance with the following fee schedule. These services shall be as required by the Authority during the construction phase portion of the project

<u>Project Personnel Category</u>	<u>Billing Rate</u>
Chief Electrical, Mechanical, I&C Engineer	\$110.00 per hour
Sr. Project Manager/Chief Engineer	\$105.00 per hour
Senior Project Engineer	\$95.00 per hour
Structural Engineer	\$95.00 per hour
Staff Electrical, Mechanical, I&C Engineer	\$85.00 per hour
Project Engineer	\$85.00 per hour
Senior Engineer	\$75.00 per hour
Resident Project Representative	\$60.00 per hour
Cad Designer and Drafter	\$60.00 per hour
Engineering Technician	\$50.00 per hour
Clerical / Office Support Staff	\$45.00 per hour

#### Reimbursable Expenses

Travel at \$0.45 per mile

Miscellaneous Expenses (mail, reproduction, etc.) at cost

4.0 ACCEPTANCE

Acceptance of the terms of this Task Order is acknowledged by the following authorized signatures of the parties to this Agreement.

OWNER

**Kent County Water Authority**

BY: \_\_\_\_\_  
Mr. Robert B. Boyer  
Chairman

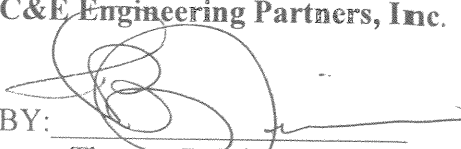
Address for Giving Notices

Kent County Water Authority  
1072 Main Street  
P. O. Box 192  
West Warwick, Rhode Island 02893

Date: \_\_\_\_\_

ENGINEER

**C&E Engineering Partners, Inc.**

BY:  \_\_\_\_\_  
Thomas B. Nicholson, P.E.  
President

Address for Giving Notices

C&E Engineering Partners, Inc.  
342 Park Avenue  
Woonsocket, RI 02895

Date: 3-28-2012

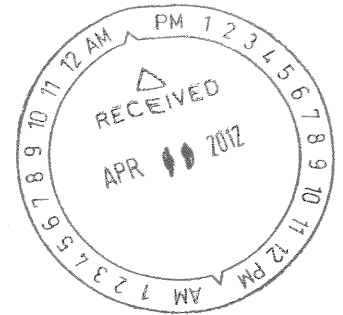
# **EXHIBIT I**

Kent County Water Board Meeting

April 19, 2012



JAMES J. GEREMIA & ASSOCIATES, INC.  
CONSULTING ENVIRONMENTAL ENGINEERS & SCIENTISTS



April 9, 2012

Mr. Joseph Duarte, P.E.  
Town Engineer  
Town Hall  
125 Main Street  
East Greenwich, RI 02818

Re: Bid Results  
Water Street Collector Sewer Replacement for the Town of East Greenwich and Water Improvements for the Kent County Water Authority

Dear Mr. Duarte:

On Wednesday (March 28, 2012) at 10:00 A.M., bids were received by the Town for the **Water Street Collector Sewer Replacement for the Town of East Greenwich and Water Improvements for the Kent County Water Authority**. The following general contractors submitted a sealed bid:

	COMPANY	Sewer Impr. E. Greenwich	Water Impr. KCWA	TOTAL AMOUNT
1	William Anthony Excavating, Inc.	\$1,602,387.90	\$172,490.00	\$1,774,877.90
2	Hugo Key and Sons	\$1,739,440.00	\$179,150.00	\$1,918,590.00
3	John Rocchio Corp.	\$1,812,855.00	\$155,095.00	\$1,967,950.00
4	D'Ambra Construction	\$1,886,330.00	\$184,900.00	\$2,071,230.00
5	C.B. Utility Co.	\$2,383,690.00	\$186,100.00	\$2,569,790.00

James J. Geremia & Associates, Inc. (JGA) has evaluated the bids on the basis of a general review of the bidders. The following are details of our evaluation.

- A. **General Review of the Bidders:** We reviewed the bids submitted by the contractors to determine if they had provided all documentation required by the contract documents. Our evaluation indicated that the contractors complied with all requirements except for Hugo Key and Sons who did not complete the DBG Subcontractor Performance and Utilization Forms in their entirety.
- B. **William Anthony Excavating, Inc.'s Capability to Carry Out this Project:** Mr. David D'Amico of DeTec Engineering (401-622-1470) was contacted for a reference. Mr.





Mr. Joseph Di...e, P.E.  
Town Engineer  
Town of East Greenwich

April 9, 2012

Page 2

D'Amico stated that William Anthony Excavating (WA) completed a sewer project in North Providence that had a 14-foot cut and the groundwater was an issue. He stated that the work was completed to the City of North Providence's satisfaction. WA also completed a water project at CCRI that consisted of the removal of ledge from the existing surface to the bottom of the trench. This project was also completed to CCRI's satisfaction. Mr. D'Amico stated that he is confident that WA will complete the Water Street Sewer and Water Project to everyone's satisfaction.

WA has also completed the installation of a sewer pump station and capping of the landfill to the Town of East Greenwich's satisfaction.

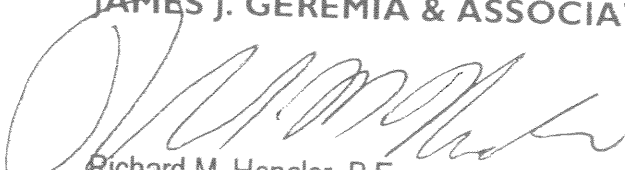
A meeting was held on April 4, 2012 with WA, the Town and JGA. WA outlined the procedure that they will follow throughout the project which includes the deep and shallow installation of the sewer main. The procedure outlined was to the satisfaction of the Town and JGA.

In conclusion, based on the above, we have determined that William Anthony Excavating, Inc. submitted the lowest most responsible and responsible bid. It is, therefore, JGA's recommendation that the Town of East Greenwich award the contract for the **Water Street Collector Sewer Replacement for the Town of East Greenwich and Water Improvements for the Kent County Water Authority** in the combined total sum of **One Million Seven Hundred Seventy Four Thousand Eight Hundred Seventy Seven and 90/100 Dollars (\$1,774,877.90)** to William Anthony Excavating, Inc.

If you have any questions, please call.

Very truly yours,

**JAMES J. GEREMIA & ASSOCIATES, INC.**

  
Richard M. Hencler, P.E.  
Project Manager

Enclosures

cc: Timothy J. Brown, P.E. (KCWA)

# **EXHIBIT J**

Kent County Water Board Meeting

April 19, 2012

<b>PLANNING DOCUMENT \$25,000/YEAR ALLOCATION</b>	
<b>PROJECT</b>	<b>STATUS</b>
Water Supply System Management Plan WSSMP	Approved
Hunt River Interim Management & Action Plan	Approved
2008 CIP Program Plan	Approved
Clean Water Infrastructure Plan 2008	Approved
<b>UPDATED CIP PROJECTS BOND FUNDING</b>	
<b>PROJECT</b>	<b>STATUS</b>
Mishnock Well Field (new wells) CIP - 1A	Construction Ongoing
Mishnock Transmission Mains CIP - 1B	Funding will be critical to plant operation, 1/4 Bid Award
Mishnock Treatment Plant CIP - 1C	Construction Ongoing
East Greenwich Well Treatment Plant - CIP-2	Preliminary Design Report Completed/Future Bond Project
Clinton Avenue Pump Station Rehabilitation CIP - 7A	Completed
Read School House Road Tank CIP - 7B	Completed
Read School House Road Main CIP 7c, 7d, 8a	Completed
<b>IFR FUNDED PROJECTS</b>	
<b>PROJECT</b>	<b>STATUS</b>
IFR 2005	Completed C. O. # 1 Asphalt Adjustment
IFR 2006 A	Closed out, Paying Issue West Warwick, Need Resolution
IFR 2006 B / IFR 2007	Closed Out
IFR 2009 A	2009 A - Closed Out
2009 B	2009 B, Start-up
IFR 2010	Design Separation 2010A Start-up, 2010B On Hold
Prospect Street	Completed
PWSB 78" / Johnson Blvd. P.S. Modification	Completed
Greenwich Avenue Replacement	Completed
Hydraulic Tank Evaluation	Completed
Quaker P. S. Design	Start-up
Tech Park Tank Recoating	Legal Action Proceeding
Tiogue Tank Re-Service	Completed
Hydrant Painting	Start-up
SCADA Upgrade	Added to Quaker P. S. Construction
Water Street Replacement	Joint Project E. G., Town Re-Bid